

NEW ZEALAND THOROUGHBRED RACING INC

PO Box 38386, WMC | Telephone: (04) 576 6240 | Facsimile: (04) 568 8866 Web: www.nzracing.co.nz | Email: <u>licensing@nzracing.co.nz</u>

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APPLICATION FOR

CLASS A TRAINER'S LICENCE CLASS B TRAINER'S LICENCE

CLASS C TRAINER'S LICENCE

| \$575.00 |
|----------|
| \$455.00 |
| \$385.00 |

| GST No. 10-386-896 | |
|------------------------|--|
| Fees are GST inclusive | |

A recent passport photograph of yourself is required for all new applicants.

| YOU | R PERSONAL DETAILS | | | |
|--------------------|---|------------------------|--|--|
| 1. | Title (Mr/Mrs/Miss/Ms) | | | |
| 2. | Surname | | | |
| 3. | Given Names (in full) | | | |
| 4. | Preferred Name (to appear in racebooks) | | | |
| 5. | Date of Birth / Place of Birth | | | |
| 6. | Nationality | | | |
| 7. | Residential Address | | | |
| | Destal Address | Postcode | | |
| 8. | Postal Address | | | |
| | | Postcode | | |
| 9. | Home Phone | | | |
| 10. | Mobile Phone | | | |
| 11. | Email Address | | | |
| 12. | Facsimile Number | | | |
| 13. | IRD Number | | | |
| 14. | GST Number | | | |
| 15. | NZ Drivers' Licence or Passport Number | | | |
| 16. | Bank Account Name | (1) | | |
| 17. | Bank Account Number (including Bank and Branch Number, Account Number and Suffix) | | | |
| TRA | NING DETAILS | | | |
| 18. | Training Track where horses will be trained | | | |
| 19. | Physical Address of Training Establishment | | | |
| 20. | Does Applicant reside there? | | | |
| 21. | Does Applicant own the property? | | | |
| 22. | If leased or rented, provide details of tenancy and owner's name and address | | | |
| 23. | (a) Area of Property | (b) Number of Boxes | | |
| | (c) Number of Yards | (d) Number of Paddocks | | |
| 24. | Do you intend to ride your own trackwork? | YES / NO | | |
| EMPLOYMENT DETAILS | | | | |
| 25. | Do you currently have any other form of occupation? If "Yes", provide full details. | | | |

| LICE | ENSE HISTORY | | | |
|--|---|--|--|--|
| 26. | Have you previously held any licence in New Zealand or any overseas racing jurisdiction (including Harness and Greyhound control bodies)? "Yes" or "No". If "Yes", provide full details below. | | | |
| 27. | Have you ever had a licence disqualified, revoked, suspended, withdrawn or refused by any Racing Authority? "Yes" or "No". If "Yes", provide full details below. | | | |
| | | | | |
| CRI | MINAL HISTORY | | | |
| 28. | Have you ever been convicted in a District or other Court of any offence against the statutory laws of New Zealand or any other country? "Yes" or No". If "Yes", provide full details below. | | | |
| 29. | Have you ever been charged with any offence relating to cruelty to animals? "Yes" or "No". If "Yes", provide full details below. | | | |
| FIN | ANCIAL ISSUES | | | |
| 30. | Do you currently owe, including arrears, any fines, costs, fees, debts or other money to any Race Club/s or other organisations within the Racing Industry? "Yes" or "No". If "Yes", provide full details below. | | | |
| 31. | Do you currently owe, including arrears, any debt or money to any grain, feed or stock merchant, veterinary firm, horse transport firm or other equine industry retailer or supplier? "Yes" or "No". If "Yes", provide full details below. | | | |
| 32. | Do you currently owe, including arrears, any debt or money to any horse trainer, any bloodstock firm, stud/farm or breeder or any other person involved in the Racing Industry? "Yes" or "No". If "Yes", provide full details below. | | | |
| 33. | Provide details of any equine related suppliers with whom you have an approved credit facility (eg. feed merchants, veterinarians, farriers etc). | | | |
| 34. | Have you been declined credit by any equine related supplier? "Yes" or "No". If "Yes", provide full details below. | | | |
| 35. | Have you within the past five years prior to this declaration been, or are you currently, subject to any High Court, District Court or Dispute Tribunal action for any money allegedly owed by you to any person or company or other entity? "Yes" or "No". If "Yes", provide full details below. | | | |
| 36. | Have you within the past five years prior to this declaration been, or are you currently, the subject of: (a) Examination as to Means by the District Court? (b) Judgement by default by the District or High Court? (c) Judgement against you in the Disputes Tribunal? "Yes" or "No". If "Yes", provide full details below. | | | |
| 37. | Have you ever been declared Bankrupt? "Yes" or "No". If "Yes", provide full details below. | | | |
| 38. | | | | |
| 39. | Are you currently liable to any company, organisation or department in which your intention to train horses, with its associated costs, should or is required to be declared or approved, eg. ACC, Insurance Companies, Banks, Income Support, Commercial Affairs, IRD? "Yes" or "No". If "Yes", provide full details below. | | | |
| If ansv | wered "Yes" to any of the above questions, please give details: | | | |
| | | | | |
| | | | | |
| CON | NTACT PERSON (in case of emergency) | | | |
| 40. | Name | | | |
| 41. | Relationship to you | | | |
| 42. | Best contact number | | | |
| PAY | MENT DETAILS | | | |
| I wou | uld like to pay by Bank Deposit: Date Deposited: | | | |
| New | Zealand Thoroughbred Racing Inc - Bank Account Number 01-0517-0063944-00. (Please use your name & form type as reference) | | | |
| Please charge my: Mastercard □ Visa □ Amex □ Diners Club □ | | | | |
| Card | d No: Expiry Date: / | | | |
| Cardholder's Name: Signature: | | | | |
| Mv (| My Cheque is enclosed for \$ | | | |

PRIVACY ACT 1993

This information is being collected and will be held by New Zealand Thoroughbred Racing (NZTR) at 106-110 Jackson Street, Petone, Wellington. It is principally being collected for the purpose of processing the matters that are the subject of this form. You agree that the personal information supplied by you may be retained by NZTR and disclosed to, and retained by, third parties for the purpose of processing relevant forms, data matching, direct marketing and providing you with information on events, products and/or services.

NZTR will not use or disclose your personal information in any way, other than in accordance with this policy or with your prior consent. If you do not provide the requested information then NZTR may not be able to process the matters that are the subject of this form. This may result in a breach of the Rules of Racing. You may access your personal information (if it is readily retrievable) at the above address and you may request NZTR to update or correct that information. You may also request to be removed from the NZTR database for the purpose of direct marketing and providing you with information on events, products and/or services by notifying NZTR by email (office@nzracing.co.zn) or by letter to the above address.

If you do **not** wish your information to be retained in our database, or disclosed and retained by third parties for the purpose of providing you with information on events, products and services, then please tick this box.

CREDIT CHECKING

You also agree that the personal information supplied by you in this form or during your registration with NZTR may be disclosed at any time by NZTR to its credit checking agency for the purposes of that agency performing its credit reporting services, which will include carrying out credit checks and you authorise the credit checking agency to disclose information to NZTR which is relevant to the provision of credit to you (and for directly related purposes including debt collection). You agree that this may result in NZTR being provided with other personal information held by that agency about you, and your personal information that NZTR discloses to the credit checking agency (including notice of any default on payment on your behalf) may be used and disclosed to other third parties by the credit checking agency when performing its credit reporting services.

Payment of all accounts held in your name with NZTR, which relate to fees such as horse entry fees for trials where you are entering an unnamed horse, is due by the 20th day of the month following the month in which the costs are incurred. Any accounts remaining unpaid after the due date will incur a late payment fee of \$25.00 per month while the debt remains unpaid as well as interest of 12.5% per annum on the amount unpaid from the date payment is due until the date payment (including any applicable late payment fees and interest) is received in full. You will also be liable to pay all costs incurred in recovering the amount owed to NZTR, including any legal fees, debt recovery fees or agency fees. Furthermore, NZTR reserves the right to withdraw your line of credit, refuse nominations for horses and place you on the NZTR Arrears List until the outstanding amount is received in full. The full NZTR Debt Collection Policy Process is available from the NZTR website www.nzracing.co.nz

HEALTH AND SAFETY

By signing this form I undertake to NZTR that:

- I understand that I have obligations under the Health and Safety at Work Act 2015 and that it is my responsibility to meet those obligations;
- I will carry out my obligations under the Health and Safety at Work Act 2015;
- I will cooperate absolutely with any health and safety investigation conducted by the RIU, NZTR or WorkSafe;
- I will immediately report any incident that must be reported under the Health and Safety at Work Act or as directed by NZTR to the appropriate
 authorities;
- I will comply with any health and safety policies at any racing venue;
- I have a health and safety plan which I have implemented.

I acknowledge that my fitness to hold a licence depends on my compliance with these undertakings and that NZTR may cancel or suspend my licence if I breach them.

DECLARATION BY APPLICANT

I do hereby declare that:

- 1. By signing and submitting this form to New Zealand Thoroughbred Racing Inc (NZTR) I have read the form and all of the information that I have provided to NZTR in this application form is true and correct in every particular.
- 2. I understand that NZTR will rely upon the information I have provided in this application form for the purpose of determining whether I am an appropriate person to receive a Class A, B, or C trainer's licence (as the case may be).
- 3. I understand that NZTR may take disciplinary action against me in the event that the information I have provided in this application form is false or misleading in any particular, and that disciplinary action may include revocation of any licence issued to me.
- 4. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the NZTR Rules of Racing or otherwise. I confirm with the requirements listed therein and that,:
 - (a) if applying for a Class A trainer's licence, I:
 - i. have attained the age of 18 years;
 - ii. train horses as my primary occupation;
 - iii. have:
 - A. held a Class A rider's licence for at least two years;
 - B. held a Class A miscellaneous licence for at least six years; or

| | C. previously held a Class B trainer's licence; iv. am competent to train horses; v. own or occupy appropriate Trainer's Premises; and |
|-----|---|
| | vi. am financially sound and of good character; |
| | (b) if applying for a Class B trainer's licence, I: i. have attained the age of 18 years; |
| | ii. have: |
| | A. held a Class A rider's licence for at least two years; or |
| | B. held a Class A miscellaneous licence for at least six years; iii. do not train more than six race horses, which shall include race horses in which I have an Ownership interest; |
| | iv. am competent to train race horses; |
| | v. own or occupy appropriate Trainer's Premises; and |
| | vi. am financially sound and of good character; (c) if applying for a Class C trainer's licence, I: |
| | i. train: |
| | A. only horses solely owned or leased to me; |
| | B. only horses in respect of which I have an Ownership interest of at least 10% with the balance being owned by one or more Near Relatives; and |
| | C. no more than two horses in respect of which I have an Ownership interest of at least 50%; |
| | ii. am competent to train horses; |
| | iii. own or occupy appropriate Trainer's Premises; andiv. am financially sound and of good character. |
| 5. | I have obtained a copy, and informed myself, of the NZTR Rules of Racing and it is my obligation as a holder of a Class A, B, or C trainer's licence to update myself in relation to NZTR's policies and any rule changes and to abide by those policies and Rules of Racing. |
| 6. | I am not currently subject to any disciplinary action initiated by any other Racing Authority in New Zealand or overseas and I will immediately inform NZTR should any other Racing Authority in New Zealand or overseas initiate disciplinary action against me. |
| 7. | I understand that if I do not pay any amount owed to NZTR within the required time, NZTR may set-off this debt against any prizemoney due and owing to me through the TROSA system administered by NZTR. |
| 0 | Louthorice NIZED to make deposite via Flactronia Funda Transfer into the bonk account naminated by making this application form. Lundaretand that if my |
| 8. | I authorise NZTR to make deposits via Electronic Funds Transfer into the bank account nominated by me in this application form. I understand that if my bank account details change, I am required to notify NZTR in writing of the new bank account details and that until I notify NZTR in writing of the change, NZTR may still make deposits into the account nominated in this form. |
| 9. | For GST purposes relating to the supply of services by me (the Supplier), to NZTR (the Recipient): |
| | (a) I (the Supplier) acknowledge that if I am registered for GST purposes, I will notify NZTR in writing if I cease to be registered |
| | for GST purposes in the future, and if I am not currently registered for GST purposes, I will notify NZTR in writing if I become registered for GST purposes in the future; |
| | (b) I (the Supplier) will not issue tax invoices in relation to any prizemoney (supplies) that NZTR may have to pay me; |
| | (c) NZTR (the Recipient) can issue tax invoices in respect of prizemoney (supplies) that it has to pay me; |
| | (d) I (the Supplier) will not issue tax invoices in respect of the supplies; (e) I understand that NZTR is registered for GST purposes and that it will notify me in writing if it ceases to be GST registered |
| | or it is otherwise unable to prepare Buyer Created Tax Invoices (BCTI) on my behalf; |
| | (f) I understand that NZTR can only issue a BCTI on my behalf if it complies with the requirements established under |
| 10 | legislation. I authorise NZTR to disclose information provided by me in this application form to: |
| 10. | (a) All Racing Authorities in New Zealand and overseas; |
| | (b) The persons specified in this application form; |
| | (c) Government Departments and regulatory authorities;(d) Persons currently unknown, for the purpose of complying with statutory obligations requiring NZTR to disclose information. |
| | (u) reisons currently unknown, for the purpose of complying with statutory obligations requiring text to disclose information. |
| 11. | I consent to NZTR contacting other Racing Authorities in New Zealand and overseas and I consent to those bodies disclosing any information that may be requested at any time by NZTR. |
| 12. | I hereby consent to the New Zealand Police disclosing to NZTR any information that they may have pursuant to this application. I understand that any record of criminal convictions I might have will be automatically concealed if I meet the eligibility criteria stipulated in Section 7 of the Criminal Records (Clean Slate) Act 2004. |
| 13. | I have attached a recent passport sized photograph of myself (if not previously supplied within the last 12 months). |
| | |
| | Full Name of Applicant Full Name of Witness |
| _ | |
| | Signature of Applicant Signature of Witness |
| | Date |

ADDITIONAL FINANCIAL INFORMATION

(Please complete if you are applying for a Class A Trainers Licence)

Please complete all of the information below and send back to NZTR by:

scan and email to licensing@nzracing.co.nz, fax to (04) 568 8866 or post to PO Box 38 386, Wellington Mail Centre, 5045

| SECTION 1: PERSONAL DETAILS Surname: | First and Middle Names: | Date of Birth: |
|--|---|----------------|
| | | |
| Email Address: | Mobile: | Home Phone: |
| Residential Address: | | |
| | | |
| DESTINA SUPPLIE ASSETS | | A 1 |
| SECTION 2: CURRENT ASSETS ASSETS | | |
| | | \$ Value |
| Total Cash and Savings: | | \$ |
| Property: | | \$ |
| Other Investments (Shares): Contents and Furniture: | | Φ. |
| | | \$ |
| Vehicles: | | \$ |
| Other Assets (see 25.) | | \$ |
| Other Assets (specify): | | \$ |
| 1771 | | \$ |
| | | \$ |
| | | |
| SECTION 3: CURRENT LIABILITITES | | |
| LIABILITIES Applicant | Lender | \$ Owed |
| Applicant Total Bank Overdrafts: | Lender | \$ Owed |
| Total Credit Cards: | | \$ |
| Other Liabilities: | | \$ |
| | | \$ |
| Other Loans (specify): | | \$ |
| 11 3/ | | \$ |
| | | \$ |
| | | |
| SECTION 4: INCOME | | |
| NCOME | | |
| Annual Income from racing activities (before tax): | | \$ |
| Annual Income from other activities (before ta | x). | \$ |
| SECTION 5: EXPENES | | |
| MONTHLY COMMITTMENTS | | |
| Monthly home loan, rent and board payments | \$ | |
| Monthly repayments for any bank loans, other | | |
| | dd up to: \$ | |
| Monthly repayments for non-bank loans (e.g. 1 | illiance companies, or fine-purchase agreements) at | 4 ap 10. |
| Monthly repayments for non-bank loans (e.g. i All credit card limits add up to: | iniance companies, or nife-purchase agreements) at | \$ |

SECTION 6: FINANCIAL STATEMENTS

Please submit a copy of your financial statements for the previous financial year to 31 March, from your racing and trading activities

Please submit a copy of your year to date financial statements from your racing and trading activities for the current financial year.

CREDIT CHECKING & PRIVACY ACT

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You also agree that the personal information supplied by you in this form or during your registration with NZTR may be disclosed at any time by NZTR to its credit checking agency for the purposes of that agency performing its credit reporting services, which will include carrying out credit checks and you authorise the credit checking agency to disclose information to NZTR which is relevant to the provision of credit to you (and for directly related purposes including debt collection). You agree that this may result in NZTR being provided with other personal information held by that agency about you, and your personal information that NZTR discloses to the credit checking agency (including notice of any default on payment on your behalf) may be used and disclosed to other third parties by the credit checking agency when performing its credit reporting services.

NZTR will not use or disclose your personal information in any way, other than that disclosed in this policy or with your prior consent. If you do not provide the requested information then NZTR may not be able to process the matters the subject of this form. That may result in a breach of the Rules of Racing. You may access your personal information (if it is readily retrievable) at the above address and you may request NZTR to update or correct that information. You may also request to be removed from the NZTR database for the purpose of direct marketing and providing you with information on events, products and services by notifying NZTR by email or by letter to the above address.

| Signature | Date |
|-----------|------|
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