



NZTR INDUSTRY POLICY – RACING

Approved by: New Zealand Thoroughbred Racing in consultation with New Zealand Racing Clubs and the New Zealand Trainers Association.

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1. Application

This Racing Policy has been issued by New Zealand Thoroughbred Racing Incorporated in accordance with Rule 501 of the New Zealand Rules of Racing. As set out in Rule 106 of the New Zealand Rules of Racing, all persons bound by the Rules are bound by and must comply with the provisions of this Racing Policy.

2. Statement of Purpose

The purpose of this policy is to provide guidance and information on racing related topics to New Zealand Thoroughbred Racing stakeholders.

3. Definitions

In this policy, unless the context otherwise requires:

“**Apprentice**” means:

- (a) a person who is a holder of a Class B rider’s licence, or a Class A miscellaneous licence and who is undertaking a modern or other apprenticeship towards a qualification as a Rider or Stablehand, as applicable; or
- (b) any other person who is undertaking a modern or other apprenticeship towards a qualification in Racecourse Management, in accordance with NZTR’s Apprentice Policy and subject to an Agreement of Apprenticeship.

“**Benchmark Rating Race**” means a race in which the minimum top weight will be allocated to any horse or horses with a handicap rating equivalent to the nominated benchmark figure. Horses rated higher than the nominated benchmark figure will remain eligible but will be weighted upwards from the minimum top weight, receiving 0.5kg for each rating point by which their rating exceeds the nominated benchmark, notwithstanding any subsequent re-handicap. If the highest rated horse nominated for the race is rated below the nominated benchmark that horse will be allocated the minimum top weight.

“**Club**” means an incorporated society registered with NZTR pursuant to NZTR’s Constitution.

“**Early Nomination Race**” means a Race advertised for a Race Meeting, for the nominations deadline advertised for that Race is a date prior to date on which the

nominations close for the other standard advertised Races for that same Race Meeting.

“Flat Race” means a Race in which there are no obstacles, including any hurdles or steeples, to be jumped by the horses competing in that Race, i.e. a Race that is not a Jumping Race.

“Racing Fee Structure” means the policy adopted by NZTR in relation to the fees applicable to nominations, non acceptances and withdrawals and may include a “Free Racing Structure” if applicable.

“Handicapping Guide” means the official Handicapping Guide published by NZTR.

“Handicap Race” means a Race in which the weights to be carried by the horses are allotted by the Handicapper for the purpose of equalising their chance of winning.

“Handicapper” means a person appointed under Rule 213.

“Highweight Race” means a Set Weight Race or Handicap Race in which only Riders holding a Class D rider’s licence and who in the period from 1 January in the previous year, have had at least one ride in a Steeplechase Race or Hurdle Race may ride.

“Hurdle Race” means a Race in which there are a number of hurdles, of a type specified in this Racing Policy, to be jumped by horses competing in that race.

“Jumping Race” means a Hurdle Race or Steeplechase Race, as applicable.

“Jumpers Flat Race” means a Flat Race in which only horses that have started in a Jumping Race at a Race Meeting or hold a current jumping certificate, as at midnight on the day immediately prior to the day on which nominations for that Race close, may start.

“Maiden” means:

- (a) for a Flat Race, a horse, which at the time of starting in a Flat Race, has not previously won a Flat Race;
- (b) for a Hurdle Race, a horse, which at the time of starting in a Hurdle Race, has not previously won a Hurdle Race; and
- (c) for a Steeplechase Race, a horse, which at the time of starting in a Steeplechase Race, has not previously won a Steeplechase Race.

“Maiden Race” means in respect of a Maiden Flat Race, a Maiden Hurdle Race, and/or a Maiden Steeplechase Race (as applicable).

“Maiden Flat Race” means a Flat Race in which only Maidens who are three years and older, unless specified otherwise in the condition of the Race, may start.

“Maiden Hurdle Race” means a Hurdle Race in which only Maidens who are three and half years and older may start.

“Maiden Steeplechase Race” means a Steeplechase Race in which only Maidens who are four years and older may start.

“NZTR” means:

- (a) New Zealand Thoroughbred Racing Incorporated;

- (b) the Board of New Zealand Thoroughbred Racing Incorporated;
- (c) the Chief Executive of New Zealand Thoroughbred Racing Incorporated;
and
- (d) any agent, employee, director or officer of New Zealand Thoroughbred Racing Incorporated to whom the Board has delegated a power, duty, right or obligation.

“**NRB**” means the National Racing Bureau, a business unit of NZTR which provides services relating to nominations, withdrawals, composition of fields, Rider declarations, scratchings and any other administrative functions relating to a Race Meeting.

“**Open Handicap Race**” means, for a Flat Race, a Handicap Race for horses which at the time of starting are not less than three years old.

“**Open Hurdle Race**” means, for a Hurdle Race, a Handicap Race for horses that are three and half years and older.

“**Open Steeplechase Race**” means, for a Steeplechase Race, a Handicap Race for horses which are four years and older.

“**Open Entry Hurdle Race**” means, for a Hurdle Race, a race open to all grade of jumpers that are three and half years and older.

“**Open Entry Steeplechase Race**” means, for a Hurdle Race, a race open to all grade of jumpers that are four years and older.

“**Policy**” means this Racing Policy, being the Racing Policy issued by NZTR in accordance with Rule 501.

-“**Race**” means a horse race or competition (not being a harness race or competition) conducted during a Race Meeting, which is conducted by any Club and “to Race” has a corresponding meaning.

“**Race Meeting**” means any meeting conducted by a Club at which horse racing takes place and at which the Totalisator is in operation.

“**Restricted Open Hurdle Race**” means, for a Hurdle Race, a Handicap Race for horses which are three and half years and older and which the minimum weight allotted shall be not less than 65kg.

“**Restricted Open Steeplechase Race**” means, for a Steeplechase Race, a Handicap Race for horses which are four years and older and which the minimum weight allotted shall be not less than 65kg-

“**Rider**” means a person authorised in the Rules, whether as the holder of a Rider’s Licence or otherwise howsoever to ride a horse in a Race, and for the purposes of the drug and alcohol testing provisions in the Rules includes the holder of a class A or Class B miscellaneous licence and any other person who rides or presents himself to ride a horse in trackwork and/or trials and/or at any Training Facility or any Trainers Premises.

“**Rules**” means the Rules of Racing, being the rules made by NZTR for the purposes of section 29 of the Racing Act 2003, including any amendments to the Rules made under section 39 of the Racing Act 2003.

“**Set Weight Race**” means a Race where all horses carry the same weight subject to a sex allowance for fillies and/or mares.

“Set Weight Races with Penalties and Allowances” means a Race where weights are determined by a system of fixed penalties for winning performances. These penalties and allowances are set out in the NZTR Standard Regulations

“Steeplechase Race” means a race in which there are a number of obstacles, of a type specified in this Racing Policy to be jumped by horses competing in that race.

“Weight-For-Age Race” means a Race with the weight to be carried by each horse allotted in accordance with the Weight-For-Age Scale, which is set out as part of this Policy.

“0-1 Win Race” means a race restricted to horses that have won not more than one flat race.

All other definitions and terms which are not defined above, shall bear the meaning set out in the Rules.

4. Flat Race Types

4.1 The following types of Flat Races may be included in the programme of a Club:

- 4.1.1 Open Handicap Races;
- 4.1.2 Maiden Flat Races;
- 4.1.3 Special Condition Races;
- 4.1.4 0-1 Win Races;
- 4.1.5 Races for horses with a rating up to a certain rating limit at the time of nomination;
- 4.1.6 Benchmark Races;
- 4.1.7 Races for two-year-olds only;
- 4.1.8 Races for two-and three-year olds only;
- 4.1.9 Races for three-year-olds only;
- 4.1.10 Races for three and four-year-olds only;
- 4.1.11 Races for four-year-olds only;
- 4.1.12 Weight-For-Age Races;
- 4.1.13 Set Weight Races;
- 4.1.14 Set Weight Races with Penalties;
- 4.1.15 Highweight Races;
- 4.1.16 Jumper’s Flat Races;
- 4.1.17 Races for fillies and/or mares or for entires and/or colts and/or geldings;
- 4.1.18 such other Races as may, on the application of a Club, be approved by NZTR as being able to be included in the programme for a Race Meeting to be conducted by that Club.

5. Apprentice Only & Non-Claiming Races

5.1 Apprentice Only Races

5.1.1 Unless an exemption has been given by NZTR, races in which only Apprentices may ride (Subject to Rule 538(2)) shall be programmed on the following basis:

- Regional Programming Committees in consultation with Clubs within each region shall programme at least one race in their region in which only Apprentices may ride (Subject to Rule 532(2)) for every 60 races conducted in that region each season.

5.1.2 Unless an exemption has been given by NZTR a Race programmed for Apprentices only cannot be:

- a Race for two-years-old only; nor
- a Race with a distance which is less than 1200m.

5.2 Apprentice Claiming Races

Unless an exemption has been given by NZTR, every Feature and Premier Race Meeting is to include a minimum of one Race in which allowances may not be claimed.

- Allowances are able to be claimed in all races at Industry or T2 Feature Race Meetings subject to Rule 542 (1).

6. Two-Year-Old Restriction

No race shall be programmed in which two year olds are permitted to run against horses four years or older unless that race is a set weight race or a weight-for-age race.

7. Race Programming Process

As per Rule 508, NZTR approves race programmes in consultation with regional Racing Club representatives in the following way.

Programming meetings where race programmes are set occur in the Northern, Central and Southern regions every three months. At the respective meetings, programmes are set three months in advance for a three month period. Those who attend meetings and assist in the programming process are:

- Racing Club representatives
- New Zealand Trainers Association
- NZTR

NZTR, following consultation with the regular attendees at the North and South Island programming meetings may invite additional interested persons to attend programming meetings from time to time.

The schedule below depicts the meeting frequency and the programmes that are set at each meeting:

MEETING DATE	PROGRAMMES
May	1 August – Mid November
August	Mid November – Mid February
November	Mid February – Mid May
February	Mid May – 31 July

After the meetings, Clubs submit their programmes to NZTR for approval.

8. Increasing the Stake of a Race

- 8.1 The stake for a Race may be increased above the stake advertised for that Race in the TRM by a stakeholder, provided that the proposed increase has been notified to NZTR in writing and NZTR has granted its approval of that proposed increase by 5pm on the working day before nominations for the applicable race meeting close.
- 8.2 Once the stake increase has been advertised on NZTR's website, the amount of the increased stake for the Race (or divided Races) shall be payable irrespective of any other event occurring, including but not limited to events such as any horse not making the field or being scratched from the Race or being declared a non-starter under the NZTR Rules of Racing, provided that in the event that the Race (or divided Races) is not run then the Club shall refund to the undersigned owners(s)/lessee(s), the amount paid by the owner(s)/lessee(s) to the Club.
- 8.3 In the event that the stake for a Race is increased by a stakeholder who has an interest in a horse racing in that Race, then the stakeholder must advise NZTR of the name of that horse, and when NZTR advises the public that the stake has been increased it will also advise the public of the name of the horse in which the stakeholder who increased the stake has an interest.

9. Handicapping Guide

- 9.1 The aim of the Handicapping Guide is to assist industry participants to understand:
- The weight horses will receive by virtue of their performances in the various races in which they compete.
 - Provide a greater understanding of the New Zealand handicapping system and methodologies that are used

The guide will also provide greater transparency as to how the Handicappers operate and will ensure that the handicapping system remains fair and consistent at all times.

10. Weight-For-Age Scale

10.1 Standard Weight-For-Age Scale for Flat Races (Effective 8 December 2008)

		Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul
Distance	Age												
1000 m	2						45	46	47	48	49	50	51
to	3	51.5	52	53	53.5	54.5	55	55.5	56	56.5	57	57.5	58
1200 m	4	58.5	58.5	58.5	58.5	58.5	58.5	58.5	58.5	58.5	58.5	58.5	58.5
	5+	58.5	58.5	58.5	58.5	58.5	58.5	58.5	58.5	58.5	58.5	58.5	58.5
Over	2						44	45	46	47	48	49	50
1200 m	3	50.5	51	52	53	54	54.5	55.5	56	56.5	57	57.5	58
to	4	58.5	58.5	58.5	59	59	59	59	59	59	59	59	59
1400 m	5+	59	59	59	59	59	59	59	59	59	59	59	59
Over	2						43.5	44.5	45.5	46.5	47.5	48.5	49.5
1400 m	3	50	50.5	51	52	53	54	55	56	56.5	57	57.5	58
to	4	58.5	58.5	58.5	59	59	59	59	59	59	59	59	59
1600 m	5+	59	59	59	59	59	59	59	59	59	59	59	59
Over	2						42.5	43.5	44.5	45.5	46.5	47.5	48.5
1600 m	3	49	49.5	50	51	52	53	54	54.5	55.5	56.5	57	57.5
to	4	58	58	58	58.5	58.5	58.5	59	59	59	59	59	59
2000 m	5+	59	59	59	59	59	59	59	59	59	59	59	59
Over	3	48.5	49	49.5	50.5	51	52	53	54	54.5	55.5	56	57
2000 m	4	57.5	57.5	57.5	58	58	58	58.5	58.5	58.5	59	59	59
to	5+	59	59	59	59	59	59	59	59	59	59	59	59
2400 m													
Over	3	48	48.5	49	50	50.5	51.5	52.5	53.5	54	55	55.5	56
2400 m	4	57.5	57.5	57.5	58	58	58	58.5	58.5	58.5	59	59	59
to	5+	59.5	59.5	59.5	59.5	59.5	59.5	59.5	59.5	59.5	59.5	59.5	59.5
3200 m													
Fillies and Mares to be allowed 2.0kg from 1st August to 31st July													

10.2 Allowances under Standard Weight-For-Age Scale for horses sired North of the Equator

Distance	Age	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul
1200m	2	-	-	-	-	-	3	3	3	3	3	3	3
and	3	2.5	2.5	2	2	2	2	1.5	1.5	1.5	1	1	1
under	4	.5	.5	.5	.5	-	-	-	-	-	-	-	-
Over	2	-	-	-	-	-	3.5	3.5	3.5	3.5	3.5	3.5	3.5
1200m	3	3	3	2.5	2.5	2.5	2.5	2	2	2	1.5	1.5	1.5
to 1600m	4	1	1	1	1	.5	.5	.5	.5	-	-	-	-
Over	2	-	-	-	-	-	4	4	4	4	4	4	4
1600m	3	3	3	2.5	2.5	2.5	2.5	2.5	2	2	2	2	2
to 2000m	4	1.5	1.5	1.5	1	1	1	.5	.5	.5	-	-	-

Over 2000m to 2400m	3 4	3.5 2	3.5 2	3 1.5	3 1.5	3 1.5	3 1.5	2.5 1	2.5 1	2.5 .5	2 .5	2 -	2 -
Over 2400m to 3000m	3 4	4 2.5	4 2.5	3.5 2	3.5 2	3.5 2	3.5 1.5	3.5 1.5	3.5 1	3 1	3 .5	3 .5	3 .5
Over 3000m	3 4	- 3	- 3	- 2.5	- 2.5	4 2.5	4 2	4 2	4 1.5	4 1.5	4 1	4 1	4 .5
Allowances in Kg.													

11. Racing Fee Structure

11.1 Nomination and Acceptance Fees will apply to all races at Rating 75 level and above, including all Group and Listed flat races and Prestige Jumping Races.

- (a) Nomination Fee: The connections of any horse that is nominated for a race (unless a Group or Listed flat or a Prestige Jumping Race) will be charged a Nomination Fee of \$57.50 (incl. GST).
- (b) Scratching Fee: In the event that a horse is an acceptor in a race and is then scratched the connections will be charged a Scratching Fee being the \$57.50 (incl. GST) Nomination Fee, together with the Acceptance Fee of 0.75% of the advertised prize money (plus GST) for that race.
- (c) Jockeys' Riding Fee: From 1 February 2011, connections are to pay Riding Fees on all starters.
- (d) Jockeys' Engagement Fee: from 1 March 2012 connections are to pay a Jockeys' Engagement Fee of \$57.50 (incl. GST), where a rider has been declared for a horse, which is subsequently scratched, provided that rider is not subsequently declared for another horse in the same race.

11.2 Free Racing Structure – from 1 March 2013 Nomination and Acceptance Fees will not apply to all races below Rating 75 level including all Maiden, Rating 65 and all 2YO, 3YO, 4YO, Special Condition flat races that are not Group or Listed races and all jumping races that are not Prestige Jumping races.

- (a) Non Acceptance Fee: the connections of any horse that is nominated but then withdrawn from a race where there are no advertised nomination fees will be charged a Non-Acceptance Fee of \$57.50 (incl GST).
- (b) Scratching Fee: the connections of any horse that is nominated and is an acceptor in a race and is then scratched, where there are no advertised nomination fees, will be charged a Scratching Fee being the \$57.50 (incl. GST) Non Acceptance Fee, together with the Acceptance

fee of 0.75% of the advertised prizemoney (plus GST) for that race.

- (c) Jockeys' Engagement Fee: connections are also required to pay a Jockeys' Engagement Fee of \$57.50 (incl GST), where a rider has been declared for a horse, which is subsequently scratched, provided that rider is not subsequently declared for another horse in the same race.

11.3 Rider's Riding Fee

From 1 February 2011, the riding fee for all Races is payable by the Owners or Lessees (as the case may be).

10.4 Policy Guidelines

- 10.4.1 . In the event that a horse is scratched from a Race and a Veterinary Certificate issued by a Veterinarian, **or from 1 August 2013 a certificate from a Farrier (approved by NZTR for this purpose)** and clearly stating the reason/s why the said horse cannot compete on the specific day, is received by NZTR by 12 noon on the first business day following the Race from which the horse was scratched, the scratching fee may be waived (although there is no requirement for NZTR to do so). Veterinary/**Farrier** Certificates are to be sent to NZTR at the following facsimile number: (04) 568 8213 or at nrb@nzracing.co.nz.
- 10.4.2 If a horse is entered for more than one Race and is then withdrawn from a Race/s but is an acceptor in other Race/s, a non-acceptance fee will be charged for each Race from which the horse has been withdrawn.
- 10.4.3 If a horse is an acceptor in more than one Race and is then voluntarily scratched from a Race/s, a scratching fee will be charged for each Race from which the horse has been scratched.
- 10.4.4 If a horse is eliminated from a Race by NZTR, no fees will be charged.
- 10.4.5 If a balloted horse does not gain a place in the field for a Race, no fees will be charged.
- 10.4.6 If a horse on the ballot scratches before regaining the field, no fees will be charged.
- 10.4.7 If a balloted horse does gain a place in the field for a Race but is voluntarily scratched from the Race, the applicable scratching fee will be charged.
- 10.4.8 The applicable fees will be charged through the NZTR Owners' Settlement Account.

10. Track Rating System

SCALE	RATING	COMMENT	PENETROMETER BAND
1	Fast	A dry hard track	0.5 – 1.9
2	Good	A firm track	2.0 – 2.2
3	Good	Ideal track with some give	2.3 – 2.5
4	Dead	Track with give better side of genuine dead	2.6 – 2.8
5	Dead	Genuine dead	2.9 – 3.2
6	Dead	Significant amount of give, worse side of genuine dead	3.3 – 3.5
7	Slow	A mildly rain affected track, better side of genuine slow	3.6 – 3.8
8	Slow	Genuine slow	3.9 – 4.2
9	Slow	Rain affected, worse side of genuine slow	4.3 – 4.5
10	Heavy	Genuine heavy	4.6 – 5.5
11	Heavy	Very soft and wet , heaviest category	5.6 +

11. Trials

12.1 Trial Distances

The following distances apply to all official trials staged in New Zealand.

12.1.1 North Island Trial Template

AUGUST – NOVEMBER (4 MONTHS)	2YO	600	800				
	Maiden 3YO		800	1000	1200		
	Maiden 3YO +						1600
	Maiden 4YO +		800	1000	1200		
	Maiden					1400	
	Open			1000	1200		

DECEMBER – FEBRUARY (3 MONTHS)	2YO	600	800	1000			
	Maiden 3YO		800	1000	1200		
	Maiden 3YO +						1600
	Maiden 4YO +		800	1000	1200		
	Maiden					1400	
	Open			1000	1200		

MARCH – JULY (5 MONTHS)	2YO	600	800	1000			
	Maiden		800	1000	1200	1400	1600
	Open			1000	1200		2000**

**** Flat trials for horses that have a valid jumping certificate**

12.1.2 South Island Trial Template

AUGUST – NOVEMBER (4 MONTHS)	2YO	600	800			
	3YO		800	1000	1200	
	Maiden 3YO		800	1000	1200	
	Maiden 3YO +					1600
	Maiden 4YO +		800	1000	1200	
	Open		800	1000	1200	

DECEMBER – FEBRUARY (3 MONTHS)	2YO	600	800	1000		
	3YO		800	1000	1200	
	Maiden 3YO		800	1000	1200	
	Maiden 3YO +					1600
	Maiden 4YO +		800	1000	1200	
	Open		800	1000	1200	

MARCH – JULY (5 MONTHS)	2YO	600	800	1000		
	3YO		800	1000	1200	
	Maiden		800	1000	1200	1600
	Open		800	1000	1200	2000**

**** Flat trials for horses that have a valid jumping certificate**

12.2 Trial Fees

The following fees apply to all official trials staged in New Zealand.

REGION	NUMBER OF STARTERS	FEE CHARGED (GST INCLUSIVE)
Northern	60 to 99 starters	Fee to be advised by Club after consultation with NZTR
	100 to 120 starters	\$127.27 GST Inc (plus \$10 surcharge to be added to trials at Paeroa and Te Teko)
	121 to 140 starters	\$121.13 GST Inc (plus \$5 surcharge to be added to trials at Paeroa and Te Teko)
	141 plus starters	\$115.00 GST Inc (plus \$5 surcharge to be added to trials at Paeroa and Te Teko)
Central	60 to 80 starters	\$108.61 GST Inc
	81 to 99 starters	\$97.88 GST Inc
	100 plus starters	\$85.61 GST Inc
Canterbury	30 to 49 starters	\$108.61 GST Inc
	50 to 89 starters	\$97.88 GST Inc
	90 plus starters	\$85.61 GST Inc
Otago/Southland	15 to 24 starters	\$97.88 GST Inc
	25 plus starters	\$85.61 GST Inc

12.2.1 Scratching Fees

A scratching fee in the Northern Region and South Island will apply to any horse that is scratched from a trial meeting after the nomination deadline (12 noon, the day before the trial meeting)

The scratching fee will be the applicable nomination fee (please refer to the table above)

The scratching fee will be waived in the following circumstances:

- (i) A veterinary or farrier certificate is received by NZTR by 12 noon on the first business day after the trial meeting.
- (ii) A horse starts at a race meeting within 48 hours of the scheduled trial meeting.
- (iii) The track conditions move two bands in either direction after nominations have closed. E.g. Good – Slow, Dead – Heavy and vice versa.
- (iv) A horse is late scratched at the barrier on veterinary advice.

12.3 Elimination Conditions for Trials

In the event that the number of entries for a trial meeting means that the number of heats exceed 30, then the following elimination conditions will apply:

1. When programming trials, preference will be given to those events that were eliminated at the previous trials meeting in the Northern Region.
2. In August, September & October, Maiden for year olds and upwards will be eliminated first starting with the longest distance. In the months of November through July (inclusive) Maidens will be eliminated first starting with the longest distance.

When trial fields are compiled in the months of November through July (inclusive) three & four year old+ Maiden heats will be combined. In August, September & October, three & four year old+ Maiden heats will be programmed separately.

Appendix One: Standard Regulations

Standard Regulations for Racemeetings

1. APPLICATION

- 1.1 Unless otherwise stated in a Club's official programme, these Standard Regulations apply to the programmes for all thoroughbred racemeetings in New Zealand.
- 1.2 All races and racemeetings are also subject to the NZTR Rules of Racing.
- 1.3 Every nomination is deemed to be made upon the express condition that the person making the nomination, and the persons on whose behalf the nomination is made (e.g. the owners or licensees of the horse in question) agree to be bound by these regulations as final and binding on each such person.
- 1.4 Every person in breach of these regulations shall be deemed to be in breach of the NZTR Rules of Racing.

2. NOMINATIONS

- 2.1 The National Racing Bureau (NRB) must receive nominations for a race before the nomination deadline advertised in the Thoroughbred Racing Monthly (TRM) for that race.
- 2.2 The deadline for nominations for any race may be extended by NZTR until

9.00am the following day, or for a period not longer than 24 hours after the original advertised nomination deadline, if at the originally advertised nomination deadline 12 or fewer nominations have been received for that race, or if 50% or more of the nominated horses are also nominated for another race or races within a 48 hour period.

- 2.3 If at the advertised nomination deadline, 50% or more of the races on the programme for that racemeeting are extended pursuant to regulation 2.2, the closing time for nominations for the remaining races on that programme may also be extended, irrespective of how many nominations have been received for those races.
- 2.4 If at the advertised nomination deadline, one or more races on the programme has been deleted, or in the opinion of NZTR is likely to be deleted, the nomination deadline for any other race or races may be extended by NZTR until 9.00am the following day, or for a period not longer than 24 hours after the advertised nomination deadline.
- 2.5 Where the closing time for nominations for any race (except a Group Race, Listed Race or Early Nomination Race) is extended pursuant to regulations 2.2 or 2.3 priority will be given in field selection to those horses nominated at the originally advertised deadline, unless the horse nominated at the originally advertised deadline is nominated for more than one race at the same racemeeting, or for races at more than one racemeeting scheduled within a 48 hour period of each other. This shall be irrespective of rating, weight, performance or qualification status.
- 2.6 Where for any reason a race is added to a programme after that programme has been published in the TRM, nominations will close at 9.00am the day after the nomination deadline for that racemeeting.
- 2.7 Any horse nominated for a race shall be deemed to be an acceptor for that race unless withdrawn before the withdrawal deadline advertised in the TRM for that race.

3. DELETION OF RACES (FLAT RACES ONLY)

- 3.1 Any flat race for which six or fewer nominations have been received at the advertised closing time for nominations, or the extended closing time where an extension is made pursuant to regulations 2.2 or 2.3, may be deleted from the programme.
- 3.2 After the advertised withdrawal deadline has passed, any flat race with six or fewer acceptors may be deleted from the programme.
- 3.3 After the advertised withdrawal deadline, it is likely that NZTR will, following consultation with the relevant Club, delete any flat race with four or fewer acceptors from the programme unless it is a Group or Listed race.
- 3.4 Every Group or Listed race will be run irrespective of the number of acceptors for the race.

4. JUMPS RACES

4.1 SPECIAL CONDITION OPEN ENTRY JUMPS RACES

The following policy is designed to ensure maximum opportunities for jumping horses throughout the jumping season.

- (a) Where there are sufficient nominations to divide a Special Condition Open Entry Jumping race preference will be given to running a race restricted to horses eligible for a Maiden Hurdle or Maiden Steeple, with the remaining horses that have won one or more Hurdle or Steeplechase races running in a Restricted Open Hurdle or Restricted Open Steeplechase.
- (b) Where there are insufficient nominations to divide a Special Condition Open Entry Jumping race NZTR in consultation with NZ Jumps Inc and the Club will determine the classification of the race based on the entries received with the race being run as either a Restricted Open Hurdle/Steeplechase; or Maiden Hurdle/Steeplechase.

Special Condition Open Entry Jumping races will be run for the equivalent prizemoney as determined by NZTR for the race classification.

- (c) Where a Special Condition Open Entry Jumping race is not divided a \$1,000 bonus will be paid to the first Maiden horses across the line as determined by the judge subject to the condition that such bonus will not be paid if the first Maiden Horse wins that race or the race is conducted for Maiden horses only. The \$1,000 bonus for the first Maiden horse will also be provided where a Special Condition Open Entry Jumping race has been divided into two Restricted Open races (with Maiden horses running in both divisions) because there were insufficient horses to divide the race into a \$12,000 Restricted Open and a \$7,000 Maiden. Where two Restricted Open races are held for this reason, both races will be run for the reduced stake of \$10,000 and include the provision for the \$1,000 Maiden bonus.
- (d) Where there are more than 12 nominations for an Open Entry race the deadline for nominations will not be extended. This will ensure that NZTR in consultation with the Club and NZ Jumps Inc can advise all participants in a timely manner if the race/s are to be divided and confirm the conditions of these races. Where there are 12 or fewer nominations for an Open Entry race that is not going to be divided, NZTR having determined the conditions of the race, may extend the deadline for additional nominations until 9.00am the following day, or for a period not longer that 24 hours after the original advertised nomination deadline.

4.2 Minimum Field Sizes

If at the advertised withdrawal deadline there are six or fewer acceptors for a Jumping Race, consideration will be given to deleting that Jumping Race

and transferring the horses entered into another Jumping Race on the same programme.

All decisions concerning the deletion of a Jumping Race and the transfer of horses to another Jumping Race will be made by NZTR after consultation with NZ Jumps Inc., affected stakeholders, and the Club holding the racemeeting.

4.3 Every Prestige Jumping race will be run irrespective of the number of acceptors for the race.

4.4 Payment of unclaimed stake-money

In any Jumping Race where a horse or horses fails to finish or complete the course for any reason (other than being declared a Late Scratching or Non Runner or being disqualified), NZTR in consultation with the Club, will distribute the amount of stake-money which would have otherwise been paid should that horse or horses have completed the course, equally between such horse or horses.

5. DIVISION OF RACES

5.1 The factors that will be taken into account when considering whether to divide a race include but are not limited to the following:

- (a) the number of horses accepted for the race;
- (b) the number of races already programmed for that racemeeting;
- (c) whether or not more than one race on the programme for that racemeeting meets the criteria for division;
- (d) whether any races on the programme for that racemeeting are likely to be deleted;
- (e) the class, distance and advertised value of the race being considered for division;
- (f) the upcoming racing opportunities at other racemeetings in the relevant class and distance range;
- (g) the likely track condition;
- (h) the availability of a timeslot to conduct an additional race at that racemeeting;
- (i) the number of horses accepted for the race that are also accepted in another race or races within a 48 hour period;
- (j) the availability of funding for an additional race; and
- (k) whether a jumping race or races on the same programme is/are likely to be divided.

5.2 Should it be deemed necessary to divide any race restricted to horses with a domestic flat rating up to and including 65 at the time of nomination, a consolation division shall be run in all instances except where the stake for the A and B division would be the same. When a race is divided in consolation format, the stake will be determined by NZTR and shall not be

less than 80% of the originally advertised stake for the race. Having regard to the ratings of the accepted horses, those in the top half will be drawn in the first division and those in the bottom half will be drawn into a consolation division. If where the division is made, there are two or more horses with the same rating the Handicapper will determine which horses are drawn into the first division and which are drawn into the consolation division. When the stake of each division of the race is the same, the race will be divided using a method, that as much as possible, balances the ratings of each division.

- 5.3 Should it be deemed necessary to divide any race with an advertised value in excess of \$15,000, a consolation division may be run for a stake to be determined by NZTR and the relevant Club.

6. RE-NOMINATIONS

- 6.1 Any horse nominated for any race which becomes ineligible by reason of it winning a race prior to or at the advertised withdrawal deadline, may be entered for any other race for which it is eligible, irrespective of whether nominations for that other race have closed.

- 6.2 If prior to or at the advertised withdrawal deadline:

- A. a race is cancelled
- B. a horse is eliminated from a race based on the handicapper's assessment
- C. a horse is placed on the ballot for a race based on the handicapper's assessment,

Then any horse may be transferred into another race, which is not a Group or Listed race, for which the horse is eligible, irrespective of whether nominations for that other race have closed, where that race is:

- (i) A set weight Race; or
- (ii) A Handicap Race, where weights have been declared, provided that the weight determined by the handicapper reflects the position of that horse in the ratings relative to the weights that have already been declared for that race.

For the purpose of field selection, a horse transferred into another race in accordance with this regulation will be deemed to be late nominated for that race. For the avoidance of doubt, preference for field selection will be given to those horses that entered at the original deadline.

- 6.3 In the event of a racemeeting being abandoned, any horse accepted for that racemeeting may, prior to 9.30am, be nominated for any race(s) for which it is eligible, irrespective of whether nominations for that race have closed.

7. SCRATCHINGS

- 7.1 All persons intending to scratch a horse for a race after the advertised withdrawal deadline for that race are required to give notice to that effect to the NRB no later than 7.30 am on the morning of the racemeeting, or, at a

later time if prescribed by NZTR for racemeetings which are scheduled to commence after 4.30pm.

- 7.2 Scratchings after the advertised scratching deadline must be advised to the Stipendiary Steward in charge of the racemeeting and will only be allowed with the permission of that Stipendiary Steward.

8. WEIGHTS & RE-HANDICAPS

- 8.1 A horse shall not be allocated:

- (a) less than 52kg in a Handicap Group 1 or Handicap Group 2 Race;
 - (b) less than 53kg in a Handicap Group 3, Listed or Open Premier Handicap Race;
 - (c) less than 54kg in an Open Handicap at Race at a Feature, T2 Feature or Industry meeting
 - (d) less than 65kg in a Prestige Jumping Race; or
 - (e) less than 65kg in any other Handicap Jumping Race or Handicap Highweight Race;
- or otherwise less than 54kg in any other Handicap Flat Race.

- 8.2 The top weight allocated in any Handicap Flat Race shall not be less than 59kg except in Handicap Flat Races in which only maidens, or only Two-year-olds, or only Three-year-olds, may start in which event the top weight allocated shall not be less than 58kg.

- 8.3 Minimum top weight at withdrawal time:

- (a) If at the advertised withdrawal deadline for a Handicap Flat Race (except a Two & three year old Handicap Flat race) the then top weighted horse has been allocated less than 59kg, such top weighted horse or horses shall be allocated 59kg and the weights allocated to the horses comprising the remainder of the field shall be adjusted by the handicapper in line with the Handicapping Policy rating guidelines with a view to achieving a minimum 5kg spread of weights.
- (b) For any Two or Three-year-old Handicap Flat Race, the then top weighted horse has been allocated less than 57kg, such top weighted horse or horses shall be allocated 57kg and the weights allocated to the horses comprising the remainder of the field adjusted accordingly.
- (c) If at the advertised withdrawal deadline for a Maiden Flat Race (except a Maiden Two-year-old Race) the then top weighted horse has been allocated less than 58.5kg, such top weighted horse or horses shall be allocated 57.5kg and the weights allocated to the horses comprising the remainder of the field adjusted accordingly.
- (d) The set weights scale for a Maiden Two-year-old Race is 57.5kg and 55.5kg. Because of this, the minimum top weight for this type of race is 56.5kg.

- 8.4 All handicaps are subject to the condition that the winner of any race, except

a race run under standard weight for age conditions or a Group or Listed race run under standard set weights and penalties and allowances conditions, after the declaration of weights may be re-handicapped, unless the conditions for the race won state otherwise.

8.5 Subject to Regulations 8.1 and 8.17 in all races where Three-year-olds compete against older horses (but not highweight races), all Three-year-olds shall be entitled to an allowance as follows:

- (a) if the race is run in August, September, or October – 2.5kg;
- (b) if the race is run in November, December or January – 1.5kg; or
- (c) if the race is run in February, March or April – 0.5kg.

8.6 Subject to Regulations 8.1 and 8.17 in all races all fillies and mares shall be entitled to an allowance of 2kg, unless otherwise specified in the conditions of the race.

8.7 In all races that are Group or Listed set weight with penalties and allowances races, except those that are restricted to:

- (a) Three-year-olds only or Two-year-olds only; or
- (b) fillies only or mares only; or
- (c) fillies and mares only,

the following conditions shall apply:

- Set Weights with Penalties.
- Fillies & Mares 53kg. Colts, Geldings & Entires 55kg
- Three-year-old Allowances as per the following table:

DISTANCE	AUG-OCT	NOV-JAN	FEB-APR	MAY-JUL
Up to 1400	4kg	2kg	1kg	0.5kg
1401-2000	5kg	3kg	2kg	1kg
Over 2000	6kg	3.5kg	2.5kg	1.5kg

Penalties to be incurred as follows:

Winner of a Group 1 race for which entry was not restricted by age in previous 18 months 3kg, two or more such wins 4kg.

Winner of a Group 2 race for which entry was not restricted by age in previous 18 months 2kg, two or more such wins 3kg.

Winner of a Group 1 race restricted to Two and/or Three-year-olds in previous 18 months 1.5kg, two or more such wins 2kg.

Winner of a Group 3 race for which entry was not restricted by age in previous 18 months 1kg, two or more such wins 2kg.

Winner of a Group 2 race restricted to Two and/or Three-year-olds in previous 18 months 1kg, two or more such wins 1.5kg.

Winner of a Group 3 race restricted to Two and/or Three-year-olds in previous 18

months 0.5kg, two or more such wins 1kg.

Winner of a Listed race for which entry was not restricted by age in previous 18 months 0.5kg, two or more such wins 1kg.

Penalties are not cumulative.

- 8.8 In all races that are Group or Listed set weight with penalties and allowances races which are restricted to mares only, or to fillies and mares only, the conditions set out in Standard Regulation 8.7 shall apply except that the set weight shall be 55kg.
- 8.9 In all races which are Weight-for-Age Races either with penalties and allowances, or with penalties only or allowances only, or without either, in which horses sired north of the Equator and foaled on any day from 1 January to 31 July inclusive are engaged there shall be allowed to every such horse unless otherwise provided in the conditions of the race allowances according to the scale as set out in NZTR's Racing Policy.
- 8.10 In all races which are Set Weight Two-year-old or Three-year-old races, except Maiden Flat races & except all Group & Listed races run at set weights, Colts and Geldings will carry 57.5kg and Fillies will carry 55.5kg.
- 8.11 The weights to be carried in all other special weight races (meaning thereby a race other than a Handicap Race or a Race to be run under the Standard Scale of Weight-for-Age or under the set weight with penalties conditions set out in Standard Regulations 8.7 and 8.8) shall be specified in the conditions of the race.
- 8.12 In Set Weight with Penalties (SWP) races horses that have won two flat races incur a 1kg penalty, horses that have won three flat races incur a 2kg penalty and horses that have won four or more flat races incur a 3kg penalty.
- 8.13 All Benchmark races are run as Handicap flat races and horses rated higher than the specified Benchmark Rating will be allocated 0.5kg for each Rating point in excess of that Rating, notwithstanding any subsequent re-handicap. Should the highest rated horse nominated at the closing time for nominations be rated below the Benchmark Rating, that horse will be allocated the minimum top weight of 59kg.
- 8.14 To be eligible to compete in a Maiden & Jumpers Handicap, a Maiden & Jumpers Highweight, a Rating 65 & Jumpers Handicap, a Rating 75 & Jumpers Handicap, a Rating 85 & Jumpers Handicap, a Rating 65 & Jumpers Highweight, a Rating 75 & Jumpers Highweight, a Rating 85 & Jumpers Highweight, a Jumpers Flat Handicap or a Jumpers Flat Highweight, horses classified as jumpers must, at midnight on the day prior to the closing of nominations have started in a hurdle or steeplechase race at a totalisator meeting or hold a current jumping certificate under clause 3.1 or 3.2 of Appendix Two (Jumping Policy) of the Racing Policy.
- 8.15 Apprentice Allowances in Flat Races: Apprentices can claim in all flat races

unless specified otherwise in the programmes.

8.16 Allowances in Jumping Races: Jumping allowances are permitted in all jumping races unless specified otherwise in the programmes.

8.17 The following template contains the various weight parameters applicable to the respective race classes:

MAIDENS

RACE TYPE	Abbrev	WEIGHTS	AGE ALLOWANCE
Maiden Two-year-old	MDN 2YO SW	Colts & Geldings 57.5kg. Fillies 55.5kg.	N/A
Maiden Two-year-old Fillies	MDN 2YO F SW	Set weight 56.5kg.	N/A
Maiden Two-year-old & Up	MDN 2YO+ SW	Colts, Geldings and Entires 58.5kg. Fillies and Mares 56.5kg. No horse shall carry less than 54kg.	*Standard Reg 8.5 & Two-year-olds an additional 2kg
Maiden Fillies & Mares	MDN F&M SW	Set weight 57.5kg.	*Standard Reg 8.5
Maiden Three-year-old	MDN 3YO SW	Colts & Geldings 57.5kg. Fillies 55.5kg.	N/A
Maiden Three-year-old Fillies	MDN 3YO F SW	Set weight 56.5kg.	N/A
Maiden	MDN SW	Colts, Geldings & Entires 58.5kg. Fillies & Mares 56.5kg.	*Standard Reg 8.5
Maiden Highweight	MDN HWT SW	Colts, Geldings & Entires 68kg. Fillies & Mares 66kg	N/A
Maiden Colts, Geldings & Entires	MDN CG&E SW	Set weight 58.5kg	*Standard Reg 8.5
Maiden Colts, Geldings & Entires Highweight	MDN CG&E HWT SW	Set weight 68kg	N/A
Maiden Four-year-old & Up	MDN 4YO+	Geldings & Entires 58.5kg. Mares 56.5kg	N/A

SPECIAL CONDITIONS

RACE TYPE	Abbrev	WEIGHTS	AGE ALLOWANCE
Special Conditions	SP COND	Colts, Geldings & Entires 58kg. Fillies & Mares 56kg. Standard Reg 8.12 & Maiden Allowance of 1kg. No horse shall carry less than 54kg.	*Standard Reg 8.5

HANDICAPS & WFA

RACE TYPE	Abbrev	EXPLANATION	WEIGHTS
Benchmark Rating 65 *	Benchmark Rating 65* HCP	Open Handicap. For horses with a domestic flat rating of up to & including 65 at time of entry & for horses with a domestic flat rating of 66 and higher at time of entry provided that such horses have not won more than one flat race.	Horses rated 65 at the time nominations close will be allocated the minimum top weight of 59kg. *Standard Reg's 8.1, 8.2, 8.3, 8.5, 8.6 & 8.13
Benchmark Rating 75 or Benchmark Rating 85	Benchmark Rating 75 HCP or Benchmark Rating 85 HCP	Open Handicap.	Horses rated 75 or 85 at the time nominations close will be allocated the minimum top weight of 59kg. *Standard Reg's 8.1, 8.2, 8.3, 8.5, 8.6 & 8.13
Benchmark Rating 65 Fillies and Mares*	Benchmark Rating 65 F&M HCP*	Open Handicap. For horses with a domestic flat rating of up to & including 65 at time of entry & for horses with a domestic flat rating of 66 and higher at time of entry provided that such horses have not won more than one flat race.	Horses rated 65 at the time nominations close will be allocated the minimum top weight of 59kg. *Standard Reg's 8.1, 8.2, 8.3, 8.5, 8.6 & 8.13
Benchmark Rating 75 Fillies & Mares or Benchmark Rating 85 Fillies & Mares	Benchmark Rating 75 F&M HCP or Benchmark	Fillies and Mares Open Handicap	*Standard Reg's 8.1, 8.2, 8.3, & 8.5

	Rating 85 F&M HCP		
Benchmark Rating 65 Highweight*	Benchmark Rating 65 HWT HCP*	Open Handicap. For horses with a domestic flat rating of up to & including 65 at time of entry & for horses with a domestic flat rating of 66 and higher at time of entry provided that such horses have not won more than one flat race.	*Standard Reg's 8.1 & 8.6
Benchmark Rating 75 Highweight or Benchmark Rating 85 Highweight	Benchmark Rating 75 HWT HCP or Benchmark Rating 85 HWT HCP	Open Handicap Highweight	*Standard Reg's 8.1 & 8.6
Open Handicap Group 1 & Group 2	As per race type HCP	Open Handicap	Minimum weight 52kg. *Standard Reg's 8.2 & 8.3
Open Handicap Group 3 & Listed	As per race type HCP	Open Handicap	Minimum weight 53kg. *Standard Reg's 8.2 & 8.3
Open Handicap	OPN HCP	Open Handicap	*Standard Reg's 8.1, 8.2, 8.3, 8.5 & 8.6
Open SWP	OPN SWP	Open	*Standard Reg 8.7
Weight For Age or Fillies & Mares Weight For Age	WFA or WFA F&M	Weight to be allocated in accordance with age & sex	Refer WFA Scale

AGE GROUP

RACE TYPE	Abbrev	EXPLANATION	WEIGHTS
Two-year-old Set Weights (SW) or Three-year-old Set Weights (SW)	2YO SW or 3YO SW	Set weight race for Two-year-olds or for Three-year-olds	Colts 57.5kg. Fillies 55.5kg
Group & Listed Two-year-	G&L 2YO	Set weight race for Two-	Colts 56.5kg. Fillies

old Set Weights (SW) or Three-year-old Set Weights (SW)	SW or G&L 3YO SW	year-olds or for Three-year-olds	54.5kg
Two-year-old Handicap or Three-year-old Handicap or Four-year-old Handicap	2YO HCP or 3YO HCP or 4YO HCP	Handicap race for Two-year-olds or for Three and or Four-year-olds	*Standard Reg's 8.1, 8.2 & 8.6
Three-year-old Fillies Handicap	3YO F HCP	Handicap race for Three year-old fillies	*Standard Reg's 8.1 & 8.2
Three-year-old and Four-year-old Handicap	3&4YO HCP	Handicap race for Three and or Four-year-olds	*Standard Reg's 8.1, 8.2 & 8.6
Two-year-old Fillies SW or Three-year-old Fillies SW	2YO F SW or 3YO F SW	Set weight race for Two-year-old fillies or for Three-year-old fillies	Set weight 56.5kg
Two-year-old Colts & Geldings SW or Three-year-old Colts & Geldings SW	2YO C&G SW or 3YO C&G SW	Set weight race for Two-year-old colts & geldings or for Three-year-colts & geldings	Set weight 57.5kg
Two-year-olds and Three-year-olds SW	2YO & 3YO SW	Set weight race for Two-year-olds & Three-year-olds	Colts & Geldings 57.5kg. Fillies 55.5kg. 2YO Allowance of 1.5kg.
Three-year-old Set Weights With Penalties	3YO SWP	Set weight with penalties race for Three-year-olds	Colts & Geldings 57.5kg. Fillies 55.5kg. Std Reg 8.12 & Maiden Allowance 1.5kg
Two-year-old Set Weights With Penalties	2YO SWP	Set weight with penalties race for Two-year-olds	Colts & Geldings 57.5kg. Fillies 55.5kg. Std Reg 8.12 & Maiden Allowance 1.5kg

FLAT RACES FOR JUMPERS , HIGHWEIGHTS & AMATEUR RIDERS

RACE TYPE	Abbrev	EXPLANATION	WEIGHTS
Maiden & Jumpers Handicap	MDN JMP HCP	For Maiden horses and * Standard Reg 8.14. Jumpers have preference in selection for ballot purposes.	*Standard Reg's 8.1, 8.5 & 8.6
Maiden & Jumpers Highweight Handicap	MDN JMP HWT HCP	For Maiden horses and * Standard Reg 8.14. Jumpers have preference in selection for ballot purposes.	Standard Reg's 8.1 & 8.6
Benchmark Rating 65 & Jumpers Handicap*	Benchmark Rating 65 JMP HCP*	Open Handicap. For horses with a domestic flat rating of up to & including 65 at time of entry & for horses with a domestic flat rating of 66 and higher at time of entry provided that such horses have not won more than one flat race. Jumpers have preference in selection for ballot purposes.	Horses rated 65 at the time nominations close will be allocated the minimum top weight of 59kg. *Standard Reg's 8.1, 8.2, 8.3, 8.5, 8.6 & 8.13
Benchmark Rating 75 & Jumpers Handicap or Benchmark Rating 85 & Jumpers Handicap	Benchmark Rating 75 JMP HCP or Benchmark Rating 85 JMP HCP	For horses with a domestic flat rating of up to and including 75 or up to and including 85 at the time of nomination and *Standard Reg 8.14. Jumpers have preference in selection for ballot purposes.	*Standard Reg's 8.1, 8.5 & 8.6
Benchmark Rating 65 & Jumpers Highweight*	Benchmark Rating 65 JMP HWT HCP*	Open Handicap. For horses with a domestic flat rating of up to & including 65 at time of entry & for horses with a domestic flat rating of 66 and higher at time of entry provided that such horses have not won more than one flat race. Jumpers have preference in selection for ballot purposes.	*Standard Reg's 8.1 & 8.6
Benchmark Rating 75 & Jumpers Highweight	Benchmark Rating 75 JMP HWT HCP or	For horses with a domestic flat rating of up to and including 75 or up to and including 85 at the time of nomination and *Standard Reg 8.14.	Standard Reg's 8.1 & 8.6

RACE TYPE	Abbrev	EXPLANATION	WEIGHTS
or Benchmark Rating 85 & Jumpers Highweight	Benchmark Rating 85 JMP HWT HCP	Jumpers have preference in selection for ballot purposes	
Jumpers Flat Handicap	JMP FLT HCP	*Standard Reg 8.14	*Standard Reg's 8.1, 8.5 & 8.6
Jumpers Highweight	JMP HWT HCP	*Standard Reg 8.14	*Standard Reg's 8.1 & 8.6
Amateur Riders Races	Race Class + Amateur Riders HCP	Races for Amateur Riders Only	Minimum Weight 66.5kg & Standard Reg 8.6

JUMPING RACES

RACE TYPE	Abbrev	EXPLANATION	WEIGHTS
Maiden Hurdle or Maiden Steeplechase	MDN HDL or MDN STP	For horses that have not won a Hurdle or that have not won a Steeplechase	CG&E 68kg. M 66kg
0-1 Win Hurdle or 0-1 Win Steeplechase	0-1 Win HDL or 0-1 Win STP	For horses that have not won more than one Hurdle or more than one Steeplechase at a totalisator meeting.	*Standard Reg's 8.1 & 8.6
0-2 Win Hurdle or 0-2 Win Steeplechase	0-2 Win HDL or 0-2 Win STP	For horses that have not won more than two Hurdle races or more than two Steeplechases at totalisator meetings.	*Standard Reg's 8.1 & 8.6
Novice Hurdle or Novice Steeplechase	NOV HDL or NOV STP	For horses eligible for a Maiden Hurdle or for a Maiden Steeplechase on specified dates.	*Standard Reg's 8.1 & 8.6
Special Conditions Hurdle or Special Conditions Steeplechase	SP COND HDL or SP COND STP	Special conditions applicable – refer programmes	*Standard Reg's 8.1 & 8.6
Restricted Open	RST OPN HDL	Handicap Open Hurdle Race	*Standard Reg's

Hurdle or Restricted Open Steeplechase	or RST OPN STP	or Handicap Open Steeplechase	8.1 & 8.6
Open Hurdle or Open Steeplechase	OPN HDL or OPN STP	Open Hurdle or Open Steeplechase	*Standard Reg's 8.1 & 8.6
Open Hurdle (Prestige Jumping Race) or Open Steeplechase (Prestige Jumping Race)	OPN HDL (PJR) or OPN STP (PJR)	Open Hurdle or Open Steeplechase	*Standard Reg's 8.1 & 8.6

9. BALLOTING & EXEMPTION CONDITIONS

9.1 General Conditions

9.1.1 The following balloting conditions apply to all races that do not have specific balloting conditions specified in the TRM for that race programme.

9.1.2 Unless specified in the conditions of the race [in the TRM], NZTR will provide balloting.

9.2 Maiden Flat Races

9.2.1 In Maiden Flat Races, horses will be eliminated or balloted in the following order:

- (a) First – Those horses with the lowest rating.
- (b) Second – Those horses that have had three starts or more in non stakes races (races that are not classified as Group or Listed) and have not placed second to fifth inclusive at any of their most recent three starts will be eliminated or balloted in an order to be determined by the Handicapper.
- (c) Third – Those horses that have had three starts or more in non stakes races and have not placed second to fourth inclusive at any of their most recent three starts will be eliminated or balloted in an order to be determined by the Handicapper.
- (d) Fourth – Those horses that have had two starts in non-stakes races without placing second to fifth inclusive will be eliminated or balloted in an order to be determined by the Handicapper.
- (e) Fifth – Those horses that have had one start in a non-stakes race without placing second to fifth inclusive will be eliminated or balloted in an order to be determined by the Handicapper.
- (f) Sixth – Unraced horses (and horses that have raced in stakes races only) with four trial starts or more since the start of their Three-year-old season will be eliminated or balloted based on their most recent trial

performance. Those that were unplaced (fourth or further back) will be eliminated or balloted before those that were placed third, followed by those that were placed second. If two or more horses have attained the same placed position or were unplaced at their most recent trial start they will be eliminated or balloted in an order to be determined by the Handicapper, or by lot if the Handicapper is unable to determine an order

- (g) Seventh – Unraced horses (and horses that have raced in stakes races only) with three trial starts or fewer since the start of their Three-year-old season will be eliminated or balloted based on their most recent trial performance. Those horses that were unplaced (fourth or further back) at their most recent trial start will be eliminated or balloted in an order to be determined by the Handicapper, or by lot if the Handicapper is unable to determine an order. Horses with no trial starts will then be eliminated before those that placed third at their most recent trial start and these will be eliminated or balloted before those that placed second. If two or more horses have attained the same placed position at their most recent trial start they will be eliminated or balloted by count back of previous trial performances. Those with a previous unplaced trial performance will be eliminated before those with a placed trial performance. Those with a placed trial performance will be eliminated before those with no other trial performance
- (h) Eighth – If after applying the above clauses further ballots or eliminations are required the remaining horses will be eliminated or balloted in an order to be determined by the Handicapper based on each of the horse's last three starts, or by lot if the Handicapper is unable to determine an order.

9.2.2 For the purpose of field selection an unraced horse will receive two points for its first trial win. Subsequent wins at trials will not earn it additional points. A horse with a rating of R45 which has started in one or more races will receive two points for a trial win.

9.3 Handicap Races

9.3.1 In Handicap Races, horses shall be eliminated or balloted in the following order:

- (a) First – Those horses with the lowest rating.
- (b) Second – If two or more horses have the same rating they will be separated by the Handicapper based on each of the horse's last three starts.
- (c) Third – If the Handicapper is unable to separate horses they will be eliminated by lot.

9.4 Non-handicap Group and Listed Races (WFA, SW and SWP races)

9.4.1 Method of elimination of surplus horses 1 August to 31 January
(Inclusive)

(a) First- horses which have not received \$25,000 or above for being placed 1st to 5th inclusive in any Group or Listed flat race in the preceding 18 months to the date of the race, in order of lowest rating. Those horses on equal rating shall be eliminated in an order as determined by the handicapper.

(b) Second- Horses which have received \$25,000 or above for being placed 1st to 5th inclusive in any Group or Listed flat race in the preceding 18 months to the date of the race, in order of lowest rating. Those horses on equal rating shall be eliminated in an order as determined by the handicapper.

9.4.2 Method of elimination of surplus horses 1 February to 31 July (Inclusive)

First- horses shall be eliminated based on ratings, in order of lowest rating first. Those horses on equal rating shall be eliminated in an order as determined by the handicapper.

9.5 Dual Acceptors

9.5.1 Where a horse is accepted for more than one race at the same racemeeting, and provided that horse makes the field in more than one race, it shall be balloted or eliminated out of one of those races, irrespective of rating, weight, performance or qualification status.

9.5.2 Where a horse is accepted for races at more than one racemeeting scheduled within two days of each other, it shall be balloted or eliminated out of one of the races at one of the racemeetings, irrespective of rating, weight, performance or qualification status, if entries are in excess of the starting limit.

9.5.3 Where a horse is accepted in a Group and/or Listed Race and any other non-Group and/or non-Listed Race scheduled within two days of each other, and its weight, rating or qualification would merit a start in both races, that horse shall be eliminated or balloted out of the non Group and/or non-Listed Race irrespective of rating, weight, rating or qualification status if there are eliminations and/or ballots required.

9.5.4 No horse shall be balloted or eliminated from any Group or Listed race or Prestige Jumping Race as a result of the operation of Regulations 9.5.1 or 9.5.2.

9.5.5 Clubs or Clusters that conduct multi-day racemeetings within two days of each other may be exempt from regulation 9.5.2 provided this condition is advertised in the programme for that racemeeting in the TRM.

10. FINAL DECISION

10.1 In any case not provided for under the Rules, the decision of NZTR shall be

final.

11. FEES AND STAKES

11.1 Permission may be refused for any horse to be entered for any race if fees are in arrears at NZTR.

11.2 All advertised stakes are GST exclusive and all advertised fees are GST inclusive.

12. DECLARATION OF RIDERS

12.1 All riders for a race must be declared to the NRB by the time specified for that race in the TRM.

12.2 No change of a declared rider will be permitted without the consent of a Stipendiary Steward.

12.3 Riders for balloted horses regaining the field must be declared as soon as possible but by no later than 10am on race day.

13. RACING FEE STRUCTURE

13.1 Nomination and Acceptance Fees will apply to all races at Rating 75 level and above, including all Group and Listed flat races and Prestige Jumping Races.

(a) Nomination Fee: The connections of any horse that is nominated for a race (unless a Group or Listed flat or a Prestige Jumping Race) will be charged a Nomination Fee of \$57.50 (incl. GST).

(b) Scratching Fee: In the event that a horse is an acceptor in a race and is then scratched the connections will be charged a Scratching Fee being the \$57.50 (incl. GST) Nomination Fee, together with the Acceptance Fee of 0.75% of the advertised prize money (plus GST) for that race.

(c) Jockeys' Riding Fee: From 1 February 2011, connections are to pay Riding Fees on all starters.

(d) Jockeys' Engagement Fee: from 1 March 2012 connections are to pay a Jockeys' Engagement Fee of \$57.50 (incl. GST), where a rider has been declared for a horse, which is subsequently scratched, provided that rider is not subsequently declared for another horse in the same race.

13.2 Free Racing Structure – from 1 March 2013 Nomination and Acceptance Fees will not apply to all races below Rating 75 level including all Maiden, Rating 65 and all 2YO, 3YO, 4YO, Special Condition flat races that are not Group or Listed races and all jumping races that are not Prestige Jumping races.

(a) Non Acceptance Fee: the connections of any horse that is nominated but then withdrawn from a race where there are no advertised nomination fees will be charged a Non-Acceptance Fee of \$57.50 (incl GST).

(b) Scratching Fee: the connections of any horse that is nominated and is an acceptor in a race and is then scratched, where there are no advertised nomination fees, will be charged a Scratching Fee being the

\$57.50 (incl. GST) Non Acceptance Fee, together with the Acceptance fee of 0.75% of the advertised prizemoney (plus GST) for that race.

- (c) Jockeys' Engagement Fee: connections are also required to pay a Jockeys' Engagement Fee of \$57.50 (incl GST), where a rider has been declared for a horse, which is subsequently scratched, provided that rider is not subsequently declared for another horse in the same race.
- 13.3 In the event that a horse is scratched from a Race and a Veterinary Certificate issued by a Veterinarian, or from 1 August 2013 a certificate from a Farrier (approved by NZTR for this purpose) clearly stating the reason/s why the said horse cannot compete on the specific day, is received by NZTR by 12 noon on the first business day following the Race from which the horse was scratched, the scratching fee may be waived (although there is no requirement for NZTR to do so). Veterinary/Farrier Certificates are to be sent to NZTR at the following facsimile number: (04) 568 8213 or at nrb@nzracing.co.nz.
- 13.4 If a horse is entered for more than one race and is then withdrawn from a race/s but is an acceptor in other race/s, a Nomination Fee will be charged for each race from which the horse has been withdrawn.
- 13.5 If a horse is an acceptor in more than one race and is then voluntarily scratched from a race/s, a Scratching Fee will be charged for each race from which the horse has been scratched.
- 13.6 If a horse is eliminated from a race, no fees will be charged.
- 13.7 If a balloted horse does not gain a place in the field, no fees will be charged.
- 13.8 If a balloted horse is scratched prior to gaining a place in the field, no fees will be charged.
- 13.9 If a balloted horse is scratched after gaining a place in the field, the applicable fee/s will be charged.
- 13.10 The applicable fees will be charged through the NZTR Owners' Settlement Account.

14. SPECIAL NOTICE

- 14.1 The Club and NZTR each reserves the right, at any time, to:
- (a) Refuse any nomination, acceptance or final payment.
 - (b) Alter the stakes, amend the dates of nomination, withdrawal and acceptance or final payment, vary the distance or conditions of any race, and alter the dates on which handicaps are to appear and to alter the order of events.
 - (c) Alter the advertised Group and/or Listed status of a race, in accordance with an amendment made to that status by the Graded Stakes Committee, and the stake, nomination fee and/or acceptance fee for that race.

- (d) Cancel the racemeeting or any day thereof.
- (e) From the advertised nomination deadline to not later than two hours after the closing of withdrawals cancel any particular race.

15. STANDARD NZTR PROGRAMME RULES/REGULATIONS

- 15.1 The Standard Racemeeting Regulations approved by the New Zealand Thoroughbred Racing Inc. and advertised in the Thoroughbred Racing Monthly apply to all racemeeting programmes.
- 15.2 All programmes are approved in accordance with Rule 508 of the NZTR Rules of Racing.
- 15.3 Details pertaining to any of the information contained in the programmes can be obtained through the National Racing Bureau (NRB) Ph: 0508 722 464 (RACING) Fax: 04 568 8213.

16. APPRENTICE ALLOWANCES

16.1 In all races in which apprentice riders can claim, the allowances are as follows:

- | | | | |
|------------------|-----|-------------------|-----|
| • 0-4 Flat wins | 4kg | • 30-59 Flat wins | 2kg |
| • 5-29 Flat wins | 3kg | • 60-99 Flat wins | 1kg |

17. MISCELLANEOUS

The following information is applicable to Programmes contained in this programme directory.

TERMINOLOGY

- Nomination Fee** This is a fee payable for all horses nominated for a race.
- Acceptance Fee** This is the fee payable for horses not withdrawn by the specified withdrawal time.
- Distance** The distance stated is the expected distance of the race. Circumstances existing at the time of the race could result in minor variations to this distance.
- Maiden Races** Unless otherwise stated, maiden races are for horses Three-years-old and upwards.

Appendix Two: Jumping Policy

1. Jumping Race Types

- 1.1 The following types of Jumping Races may be included in the programme of a Club:
 - 1.1.1 Maiden Hurdle Race
 - 1.1.2 Maiden Steeplechase Race
 - 1.1.3 Open Entry Hurdle Race
 - 1.1.4 Open Entry Steeplechase Race
 - 1.1.5 Open Hurdle Race
 - 1.1.6 Open Steeplechase Race

- 1.1.7 Restricted Open Steeplechase
- 1.1.8 Restricted Open Hurdle
- 1.1.9 Such other Races as may, on the application of a Club, be approved by NZTR as being able to be included in the programme for a Race Meeting to be conducted by that Club.

2. Hurdle Races and Steeplechases

- 2.1 No Hurdle Race shall be run over a distance of less than 2400 metres, and no Steeplechase Race shall run over a distance of less than 3200 metres.
- 2.2 In Hurdle Races the minimum number of flights of hurdles shall be eight in races of 2400 metres in distance, increased by at least one additional flight for each additional 300 metres.
- 2.3 Where in any Hurdle Race or Steeplechase Race there is a flight of hurdles or a moveable steeplechase fence, which has to be jumped more than once and in the course of the first or any subsequent time over such hurdles or fence a panel therein is knocked over, it shall be permissible but not obligatory to re-erect, replace or remove such panel before the next time round; provided, however, that no such panel be re-erected or removed until all horses still retaining their riders and in the race have passed over that jump on the round where such panel was knocked over.

3. Certificate of Qualification for Jumping Races

In accordance with Rule 529, the following conditions shall apply regarding the issue of Certificates of Qualification for Jumping Races.

3.1 Hurdle Races

- 3.1.1 For a horse to obtain a Certificate of Qualification to compete in Hurdle Races, it must school on two separate occasions over no fewer than eight fences, at no less than three-quarter pace.
- 3.1.2 Preferably, both schools should be in company with at least one other horse. In any event, one school must be with company of at least one other horse.
- 3.1.3 On both occasions, the horse must school to the satisfaction of the Stipendiary Steward or a person appointed by the Stipendiary Stewards.

3.2 Steeplechase Races

- 3.2.1 For a horse to obtain a Certificate of Qualification to compete in Steeplechase Races, it must school on two occasions over no fewer than eight fences, at no less than three-quarter pace.
- 3.2.2 Preferably, both schools should be in company with at least one other horse. In any event, one school must be with company of at least one other horse.

- 3.2.3 On both occasions, the horse must school to the satisfaction of the Stipendiary Stewards or a person appointed by the Stipendiary Steward.
- 3.2.4 Once the Certificate of Qualification to compete in Steeplechase Races is approved, the horse is deemed automatically to be qualified to compete in Hurdle Races also.

For a horse which has obtained a Certificate of Qualification to compete in Hurdle Races to then obtain a Certificate in Qualification to compete in Steeplechase Races after having a race day start, it only has to school in company once and perform to the satisfaction of the Stipendiary Steward or a person appointed by the Stipendiary Steward.

4. Qualification to use Blinkers and Side Winkers in a Hurdle Race or Steeplechase Race

- 4.1 For the purpose of obtaining a Certificate of Qualification for the use of Blinkers and Side Winkers for the first time in a Hurdle Race or Steeplechase Race, the horse must be schooled at least once over no fewer than eight fences at no less than three-quarter pace using the specific gear and over the specific type of jump for which the qualification is required.
- 4.2 The horse whilst wearing the gear must school to the satisfaction of the Stipendiary Steward or a person appointed by the Stipendiary Steward.

If the horse has obtained a Certificate of Qualification for the use of Blinkers or Side Winkers in a Steeplechase Race, it shall be deemed automatically to be qualified to use such gear in a Hurdle Race.

5. Minimum Field Size and Special Condition Open Entry Jumps Races

The following policy is designed to ensure maximum opportunities for jumping horses throughout the jumping season.

5.1 Minimum field sizes

If at the advertised withdrawal deadline there are six or fewer acceptors for a Jumping Race, consideration will be given to deleting that Jumping Race and transferring the horses entered into another Jumping Race on the same programme. Such action will always be subject to Standard Regulation 5.2

All decisions concerning the deletion of a Jumping Race and the transfer of horses to another Jumping Race will be made by NZTR after consultation with NZ Jumps Inc., affected stakeholders, and the Club holding the race meeting.

5.2 Special Condition Open Entry Jumping races

Where there are sufficient nominations to divide a Special Condition Open Entry Jumping race preference will be given to running a race restricted to horses eligible for a Maiden Hurdle or Maiden Steeple, with the remaining

horses that have won one or more Hurdle or Steeplechase races running in a Restricted Open Hurdle or Restricted Open Steeplechase.

- (a) Where there are insufficient nominations to divide a Special Condition Open Entry Jumping race NZTR in consultation with NZ Jumps Inc and the Club will determine the classification of the race based on the entries received with the race being run as either a Restricted Open Hurdle/Steeplechase; 0-2 Win Hurdle/Steeplechase; 0-1 Win Hurdle/Steeplechase or Maiden Hurdle/Steeplechase.
- (b) Special Condition Open Entry Jumping races will be run for the equivalent prizemoney as determined by NZTR for the race classification at that time eg equivalent of Maiden Hurdle/Steeple; 0-1 or 0-2 Hurdle/Steeple or Restricted Open Hurdle/Steeple with the stake to be determined at the time acceptances close.
- (c) Where a Special Condition Open Entry Jumping race is not divided a \$1,000 bonus will be paid to the first Maiden horses across the line as determined by the judge subject to the condition that such bonus will not be paid if the first Maiden Horse wins that race or the race is conducted for Maiden horses only.

6. Hurdle and Steeplechase Fence Guidelines

This document sets out guidelines for the types of obstacles to be used in Jumping Races. The pictures set out in this document are examples of hurdle and steeplechase fences used by Hawke's Bay Racing Inc. Please note that fences do vary from venue to venue. For more information please contact NZTR.

6.1 Guidelines – Hurdle Fence



A picture depicting a hurdle panel.

- Each hurdle panel to be 2.4 metres in length and not less than 1.3 metres in height.
- Top rail to be not less than 200mm x 50mm.
- A minimum of four horizontal rails below the top rail of not less than 100mm x 25mm.
- The bottom rail to be fixed as close to the ground as possible and to be painted flat white.
- The legs at each end of the panel to be a minimum of 100mm by 50mm and the centre leg a minimum of 125mm by 25mm.
- Braces to be a minimum of 100mm by 25mm.
- Lean of each panel forming part of a flight of hurdles to be such as to provide a vertical height when set in position of 850mm.

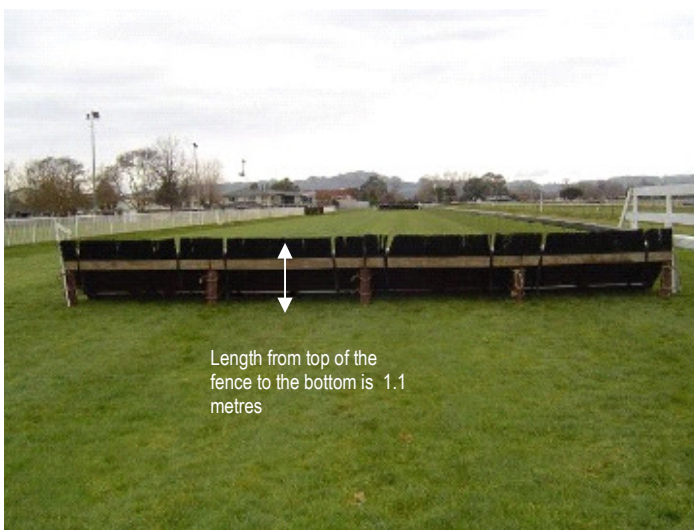


A picture depicting a hurdle pad.

- Each panel will be covered with a pad approved by NZTR.



A further example of a hurdle pad.



A front on view of a made up hurdle.



A picture of the outside wing.

6.2 Guidelines – Removable Steeplechase Fence



Picture of a removable steeplechase fence.

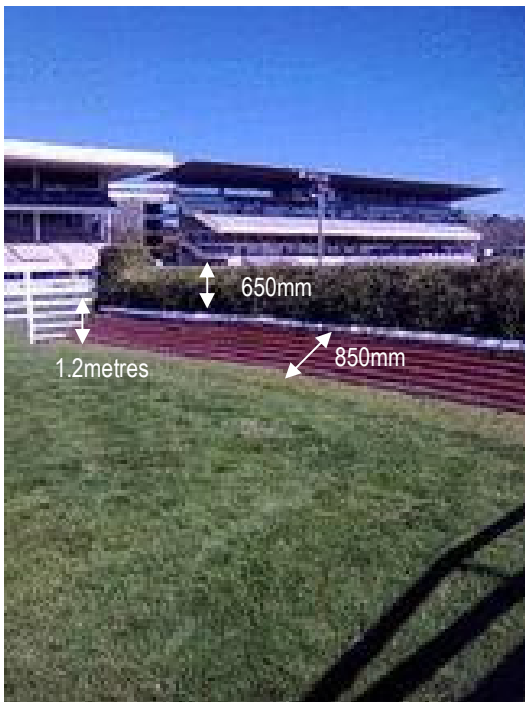
- To be constructed of panels, each panel to have a top rail of bevelled timber not less than 100mm x 50mm on the take-off side.
- Height measured from ground level to top of timber to be not less than 850mm.
- Panels to be packed with brush from ground level to a height of not less than 610mm above the timber.
- Brush measured at top of the fence to have a width of not less than 380mm.
- Each panel to be firmly pegged or staked to the ground. Approved ramps fitted on take off side

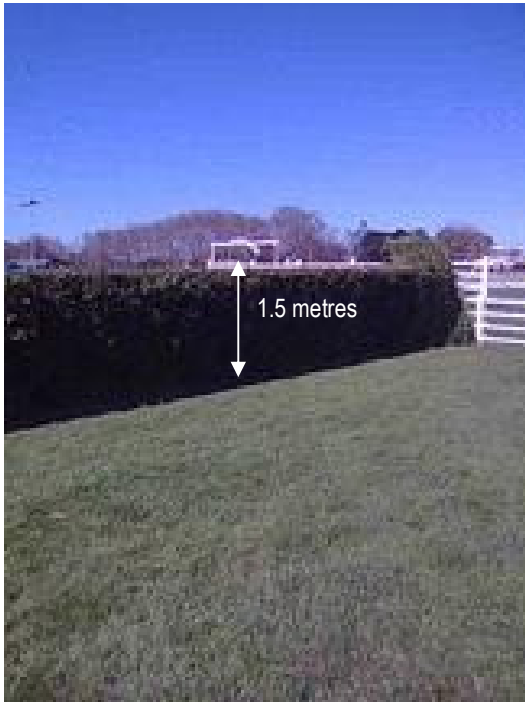


A front on view of a removable steeplechase fence.

For details relating to ropes, pins, pegs and the inside running rails wing please contact NZTR.

6.2.1 Guidelines – Permanent Steeplechase Fence





7. **NZ Jumps Inc**

NZ Jumps Inc is the body that assists NZTR steer jump racing policy nationally. It has come into existence as result of the re-development of the infrastructure of jump racing in NZ and has replaced the NZ Jumps Association. The powers, objects and governance structure, and rules associated with NZ Jumps Inc are set out in its constitution, a copy of which can be found on the Incorporated Societies Register at www.companies.govt.nz .