



FEE \$75.00
 GST Incl
 GST No. 10-386-896

SR18: 08-17

INITIAL APPLICATION FOR ENTRY OF BROODMARE INTO THE STUD BOOK

SECTION 1: BROODMARE DETAILS

Name of Mare			
Sire		Dam	
Foaling Year		Colour	

SECTION 2: COVERING DETAILS

Season first retired to stud	Covering Stallion(s)

SECTION 3: OWNERSHIP IN WHICH TO ENTER MARE INTO THE STUD BOOK

If not the registered owner then either a Change of Ownership or Notification of Lease for Stud Purposes should accompany this form. The registered ownership of the mare at the time of foaling will be recorded as the breeder and owner of the foal in the Stud Book.

Name: Mr/Mrs/Miss/Ms	
Name: Mr/Mrs/Miss/Ms	
Name: Mr/Mrs/Miss/Ms	
Name: Mr/Mrs/Miss/Ms	

SECTION 4: PAYMENT DETAILS

I would like to pay by Bank Deposit: Date Deposited: _____ Reference Used: _____

New Zealand Thoroughbred Racing Inc - Bank Account Number **01-0517-0063944-00**. (Please use your name & form type as reference)

Please charge my: Mastercard Visa Amex Diners Club

Card No: Expiry: /

Cardholder's Name: _____ Signature: _____

My Cheque is enclosed for \$ _____ (Payable to New Zealand Thoroughbred Racing)

When the fee is paid this form constitutes a GST tax invoice. If a payment forms part of a taxable activity within the GST Act a copy should be retained for your records.

DECLARATION

By signing and submitting this form to NZTR I/we certify I have read the reverse of this form and that all of the information I have set out on this form is true and correct, and I/we acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I/we confirm with the requirements listed therein and that we are: (a) Eligible to enter on a racecourse; (b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race.

I/we, as the Owner(s) of the thoroughbred acknowledge and agree that the Register of registered horses and Owners kept by NZTR is prima facie evidence of the registered Owner(s) of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse.

I/we authorise NZ Thoroughbred Racing to use the information collected from me/us for any purpose which, in accordance with its privacy policy, it may in its discretion think appropriate.

Name: _____ Address: _____

Signature: _____ Date: _____

SECTION 9: PRIVACY ACT 1993

This information is being collected and will be held by New Zealand Thoroughbred Racing (NZTR) at 106-110 Jackson Street, Petone, Wellington. It is being collected for the purpose of processing the matter the subject of this form. You agree that the personal information supplied by you may be retained by NZTR and disclosed to and retained by third parties for the purpose of processing relevant forms, direct marketing or providing you with information on events, products and services.

NZTR will not use or disclose your personal information in any way, other than that disclosed in this policy or with your prior consent. If you do not provide the requested information then NZTR may not be able to process the matters the subject of this form. That may result in a breach of the Rules of Racing. You may access your personal information (if it is readily retrievable) at the above address and you may request NZTR to update or correct that information. You may also request to be removed from the NZTR database for the purpose of direct marketing and providing you with information on events, products and services by notifying NZTR by email or by letter to the above address.

If you do **not** wish your information to be retained in our database, or disclosed and retained by third parties for the purpose of providing you with information on events, products and services, then please tick the box below.

**EXTRACT FROM FIRST APPENDIX: THE NEW ZEALAND STUD BOOK AND REGISTER OF NON STUD BOOK MARES
REGULATIONS MADE BY NZTR**

- 1 (1) In these Regulations, unless the context otherwise requires, the words and phrases following shall severally have the meanings hereinafter stated:
brand identification certificate means the form referred to in Regulation 8 (1) hereof.
branding slip means the form used prior to the 31st July 1991 for returning a description and other particulars of a foal.
A horse is deemed to be "bred" at the place of its birth.
The person who owns or is the lessee of a broodmare at the time she foals is deemed to be the "**breeder of the foal**".
certificate of registration/document of description means the document referred to in Regulation 5A (1) hereof.
certificate of service or certificate means the certificate referred to in Regulation 11(1) hereof.
Foaling return means the return required by Regulation 4 (1) hereof.
horse includes a stallion, colt, mare, filly, gelding or rig of any age.
Judicial Committee means a Judicial Committee appointed by the Judicial Control Authority established pursuant to the Racing Act 2003.
lessee includes in addition to a sole lessee the joint lessees of a horse.
owner includes in addition to a sole owner the joint owners of a horse.
words importing the singular number include the plural number and words importing the plural number include the singular number.
year or racing year means the period beginning on the 1st day of August in each calendar year and ending on the 31st day of July in the next succeeding calendar year.
- (2) For the purposes of the returns required by these Regulations a mare is deemed to have "slipped" when:
(a) she has been observed to abort a foetus; or
(b) she has not been observed to abort a foetus but has, by the method referred to in the next succeeding sentence, previously been diagnosed to be pregnant and is subsequently in the same year found to be empty. The method of diagnosis is when a mare which has been served gives a positive reaction to a recognised chemical or biological test for pregnancy after the 45th day from the last date of service.
- 2 (1) In every other case, except the case of a mare foaling, a served mare shall be deemed to have "missed".
The following horses and no others shall be eligible for entry in the New Zealand Stud Book, viz:
(a) horses which authentically trace in all their lines to horses recorded in that Stud Book;
(b) horses which are recorded in a recognised Stud Book of another country and be the product of a mating between a sire and dam both of which were registered prior to the 1st January 1980 in a Stud Book approved by the International Stud Book Committee, or trace in all lines of its pedigree to horses so registered;
(c) horses which are recorded in the New Zealand Register of Non-Stud Book Mares and have been promoted to Stud Book status by NZTR supported by the unanimous agreement of the International Stud Book Committee; and
(d) horses, other than those referred to in the last three preceding paragraphs, which are approved for entry by NZTR and the entry of which is supported by unanimous agreement of the International Stud Book Committee, provided that any such horse is the product of a natural service, which is the physical mounting of a mare by a stallion with intromission of the penis and ejaculation of semen into the reproductive tract. A natural gestation must have taken place and delivery must be from the body of the same mare in which the foal was conceived. For the avoidance of doubt:
(e) such natural service may include the immediate reinforcement of the stallion's service by using residual semen ejaculated by the stallion whilst it penetrated the mare during that service of the same mare, provided that the reinforcement of service must be immediately after the natural covering and the semen must not be processed or altered in any way before it is used for reinforcement; and
(f) semen obtained from a stallion by any artificial means may not be used to reinforce a service, and any other form of artificial breeding (including artificial insemination, embryo transfer or transplant, cloning, or any other form of genetic manipulation) may not be used to produce the horse.
- (2) The following horses and no others shall be eligible for entry in Register of Non Stud Book Mares, viz:
(a) horses which are for any reason not accepted for entry in the New Zealand Stud Book but have been registered in accordance with the provisions of Rule 404 to 410 of the Rules; and
(b) horses which are for any reason not accepted for entry in the New Zealand Stud Book but which are registered by Another Racing Authority, provided that any such horse is the product of a natural service, which is the physical mounting of a mare by a stallion with intromission of the penis and ejaculation of semen into the reproductive tract. A natural gestation must have taken place and delivery must have been from the body of the same mare in which the foal was conceived. For the avoidance of doubt:
(c) such natural service may include the immediate reinforcement of the stallion's service by using residual semen ejaculated by the stallion whilst it penetrated the mare during that service of the same mare, provided that the reinforcement of service must be immediately after the natural covering and the semen must not be processed or altered in any way before it is used for reinforcement; and
(d) semen obtained from a stallion by any artificial means may not be used to reinforce a service, and any other form of artificial breeding (including artificial insemination, embryo transfer or transplant, cloning, or any other form of genetic manipulation) may not be used to produce the horse.
- 3 (1) Notwithstanding that a horse is eligible for entry under the last preceding Regulation, it shall not be entered in the New Zealand Stud Book or the Register of Non Stud Book Mares (as applicable):
(a) until, in the case of a horse being the progeny of an unnamed dam, the dam is named and the name registered; and
(b) until, in any case, the identity and breeding of the horse is established to the satisfaction of NZTR.
- (2) Notwithstanding that a horse is eligible for entry under the last preceding Regulation if such horse has been foaled in New Zealand and has not been Microchipped, DNA-typed or freeze branded it shall not be entered in the New Zealand Stud Book and if entered shall be deleted therefrom unless in either case NZTR in its sole discretion decides otherwise.
- 4 (1) NZTR shall, not later than the month of December in each year, forward to every owner or, in the case of a mare which is leased, lessee known to him in New Zealand of a mare or mares eligible for entry, or entered, in the New Zealand Stud Book or Register of Non Stud Book Mares (as applicable) a form (referred to herein as a "foaling return") for returning particulars of their foalings and coverings. Such return shall be in a form prescribed by NZTR or processed through the Breeders Service Centre on line studbook, and shall be furnished to NZTR not later than the 28th February in each year. The relevant fee specified by NZTR is payable in respect of each year's foaling return in respect of every eligible broodmare accepted with a view to inclusion in the New Zealand Stud Book or the Register of Non Stud Book Mares (as applicable) and the foaling return must be furnished to NZTR irrespective of whether:
(a) as a result of her covering in the last preceding year the mare foaled, missed or slipped; or
(b) the mare was covered during the last preceding year.
The fee is also payable in respect of a mare which has died leaving a surviving foal.
- (2) Every person in whose charge, care or control a mare entered or eligible for entry in the New Zealand Stud Book or the Register of Non Stud Book Mares (as applicable) is at the time of foaling, shall keep a record of such foaling, showing the name and, if unnamed, the age, colour and pedigree of the mare, the date of foaling, the sex and colour of the foal and the name of its sire, and, not later than the 28th February after the date of such foaling, shall notify such particulars to NZTR.
- (3) Every notification of particulars of the foaling of any mare duly forwarded pursuant to sub-Regulation (2) may be accepted by NZTR as an application for entry of the foal in the New Zealand Stud Book or the Register of Non Stud Book Mares (as applicable).
- (4) Every person who, being required so to do by these Regulations fails to furnish a return to NZTR by the 28th February in any Racing Year shall be liable for the relevant late entry fee specified by NZTR in respect of that return.
- (5) Failure on the part of any person to comply with the provisions of sub-Rule (1), (2) and (4) hereof may be deemed by NZTR sufficient ground for refusing the registration of the horse concerned under the New Zealand Rules of Racing.
- (6) If the foaling return for a mare records that she gave birth to a live foal during the period to which the return relates there shall be forwarded with the foaling return not only the fee referred to in Regulation 4 (1) hereof but also the relevant fee specified by NZTR for each live foal to which the mare gave birth during such period which fee shall be to enable the foal to be DNA-typed. If no live foal is so recorded no such additional fee of shall be payable provided however that if, contrary to what is recorded on the foaling return, a mare had given birth to a live foal the fee specified by NZTR shall be paid to NZTR when a sample of the hair of that foal is forwarded for DNA-typing. If, after the fee specified by NZTR has been forwarded with a foaling return but before the foal to which it relates has been DNA-typed, a foal dies, NZTR shall refund such fee to the person who paid it.
- 5A (1) The relevant fee specified by NZTR shall be payable in respect of each mare for which initial entry as a broodmare in the New Zealand Stud Book or the Register of Non Stud Book Mares (as applicable) is sought. Every person who applies for such initial entry of a mare shall forward to NZTR with such application the certificate of registration issued under the New Zealand Rules of Racing in respect of that mare. NZTR shall then prepare in respect of that mare a document which shall be called a certificate of registration/document of description and which shall be in a form prescribed by NZTR from time to time. NZTR shall thereafter forward to such person or his nominee the certificate of registration/document of description in respect of the mare.
- (7) Hair samples are required to be obtained from such horse or horses as NZTR shall specify and to be forwarded to such laboratory as aforesaid in each of the following cases:
(a) in the case of every stallion entered or eligible for entry in the New Zealand Stud Book or the Register of Non Stud Book Mares (as applicable) which is standing at stud in New Zealand and which has not already been DNA-typed by such laboratory;
(b) whenever a mare which has been covered in one year by two or more stallions produces a live foal;
(c) whenever a mare foals less than 315 or more than 370 days after the date of last service;
(d) whenever the markings and/or brands of a horse differ, to an extent which is in the opinion of NZTR significant, from those recorded on the branding slip or brand identification certificate relating to such horse and NZTR considers that DNA-typing is required in view of the difference;
(e) whenever NZTR considers that the sire and/or dam of a horse may be different from that whose progeny it has hitherto been regarded as being;
(f) whenever a foal's reported coat colour is considered by NZTR to warrant investigation by way of DNA-typing being carried out; and/or
(g) in any other case where in the opinion of NZTR doubt exists on a matter which the proper compilation of the New Zealand Stud Book or the Register of Non Stud Book Mares (as applicable) requires to be free from doubt.
- Regulation (7) of this Regulation to cause hair samples to be obtained and submitted to do so in accordance with NZTR's requirements.
- (9) The relevant fee specified by NZTR per sample shall be paid to NZTR in respect of every sample forwarded pursuant to sub-Regulation (7) of this Regulation.
- 7 A foal is not eligible for inclusion in the New Zealand Stud Book unless it is begotten by natural service of the mare which gave birth to the foal.
- 10 (1) Every person disposing by sale or gift of any stallion, broodmare, or filly which is or is eligible to be entered in the New Zealand Stud Book or the Register of Non Stud Book Mares (as applicable) shall notify such change of ownership as required under Rule 423 of the New Zealand Rules of Racing and, in the case of a broodmare, shall state whether or not she has a foal at foot, its colour, sex and sire and, if served during the current season, the name of the covering stallion and date of the last service.
- (2) If a mare is sold with a foal at foot, a separate notice of change of ownership must be completed as to the foal.
- (3) If a mare is leased a notification of lease for stud purposes must be completed as to the mare and forwarded to NZTR together with the relevant fee specified by NZTR